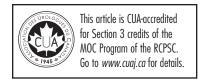
# Considerations on the identification and management of metastatic prostate cancer patients with DNA repair gene alterations in the Canadian context



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# **Summary**

Olaparib is the first Health Canada-approved agent in metastatic prostate cancer to use a companion diagnostic to identify alterations in *BRCA1*, *BRCA2*, or *ATM*. As olaparib is introduced, clinicians must learn to access and interpret germline and somatic next-generation sequencing (NGS) results and how to manage affected patients who appear to have distinct clinical features. The traditional model of referring patients to a hereditary cancer clinic (HCC) for germline testing is likely impractical in this disease, as the metastatic prostate cancer patient population would be overwhelming. Alternate approaches to this are clinician-ordered genetic testing (so-called "mainstreaming"), out-of-pocket payment for third-party private company genetic testing, or germline testing done in conjunction with somatic testing, particularly cell free circulating tumor DNA (ctDNA).

Germline testing alone is not sufficient for identifying olaparib-eligible patients, as less than half of *BRCA1*, *BRCA2*, or *ATM* alterations are germline in origin, but it is critically important to identify family members who are carriers so that risk-reduction measures can be undertaken. Somatic testing is not widely available in Canada, but some patients can access it through research protocols or by paying out-

# **Key messages**

- With the Health Canada approval of olaparib in mCRPC, the era of molecular classification and biomarker-driven therapy in advanced prostate cancer has arrived.
- Canadian clinicians will need to be familiar with how to access and interpret germline and somatic genetic testing results.
- Clinicians can advocate for provincial reimbursement, as well as increased resources and optimal pathways for germline and somatic genetic testing, including oncology provider-mediated genetic testing ("mainstreaming").
- The field is continuously evolving, and many questions remain unanswered, including optimal patient selection and sequencing of olaparib with other standard-of-care therapies.

of-pocket. Somatic testing can be performed on archival or fresh solid tissue biopsy samples, or through whole blood samples to access plasma-derived circulating tumor DNA (ctDNA). Both testing approaches have relative advantages and disadvantages, but neither may be informative in all patients and, therefore, ideal somatic NGS pathways should provide options for both tissue and ctDNA testing.

We advocate that clinicians begin discussions with their provincial lab formularies, HCC, and molecular pathology labs to highlight the importance of germline and somatic testing in this population and identify pathways for patient access. While olaparib has approval for use in *BRCA1-*, *BRCA2-*, and *ATM-*altered metastatic castration-resistant prostate cancer (mCRPC), emerging evidence suggests that poly (ADP-ribose) polymerase (PARP) inhibitors have variable activity in these three genes, with *BRCA2* alterations appearing to be the most responsive. Retrospective and prospective series have reported varying outcomes to standard-of-care therapies, such as androgen receptor-axistargeted therapies (ARATs) and taxane-based chemotherapy, in mCRPC patients with DNA damage repair (DDR) gene alterations, such as *BRCA2*. In the absence of high-level evidence showing a lack of benefit, we believe this patient population should still be considered for these treatments.

In addition, platinum-based chemotherapy appears to have activity in DDR gene-altered mCRPC and should be considered another option when access to olaparib is not possible.

At present, there is no evidence to support an optimal treatment sequence in this patient population; therefore, physician and patient preferences will need to be taken into consideration when selecting therapies. As olaparib and other PARP inhibitors are tested in different disease states and in combination with other therapies, we will likely see a more refined approach to the use of these agents and management of this new biomarker-defined patient population.

### Introduction

Since the pivotal discovery of Canadian-born physician, Dr. Charles Huggins, that prostate cancer is dependent on androgenic activity,<sup>1</sup> a number of life-prolonging systemic therapies have been developed and approved by Health Canada: docetaxel,<sup>2</sup> cabazitaxel,<sup>3</sup> abiraterone,<sup>4</sup> enzalutamide,<sup>5</sup> apalutamide,<sup>6</sup> darolutamide,<sup>7</sup> radium-223,<sup>8</sup> sipileucel T,<sup>9</sup> and olaparib.<sup>10</sup> Based on the recently published VISION study, lutetium-177-PSMA-617 will likely also receive Health Canada approval.<sup>11</sup>

The Health Canada approval of olaparib for patients with mCRPC who have progressed after at least one line of ARAT, with deleterious or suspected deleterious pathogenic germline and/or somatic variants in either BRCA1, BRCA2, or ATM, represents a significant shift in the diagnostic and therapeutic landscape of metastatic prostate cancer (mPC). Prior to olaparib, all of the systemic therapies approved for mPC have been with no companion diagnostic or clinically validated biomarkers to identify patients most likely to benefit. As such, how to access and interpret molecular testing, particularly from NGS technologies, will be foreign to many Canadian prostate cancer healthcare providers. This review aims to address two broad topics in the context of the Canadian healthcare system: 1) testing for DDR gene alterations in patients with mPC through germline and/or somatic testing; and 2) management of the mPC patient with DDR gene alterations, including the use of olaparib and other considerations for therapy.

# Prevalence of DDR gene alterations in advanced prostate cancer

Genomic studies have demonstrated that approximately a quarter of mCRPC patients harbor alterations in DDR genes, with *BRCA2* (13%) and *ATM* (7%) being the most frequently affected.<sup>12-15</sup> Less than half of these alterations are germline in origin, with the remainder of patients harboring somatic only alterations (Table 1). The prevalence of germline DDR gene alterations appears to vary by population. One multicenter study demonstrated an overall germline mutation prevalence of 11.8% for any DDR gene (or 7.8% if only considering *BRCA1*, *BRCA2*, or *ATM*), though this ranged from 8.8–18.5% by center.<sup>16</sup> A recent study from 879 mPC patients in British Columbia found a prevalence of germline DDR gene alterations of 6.5%, and 4.9% in *BRCA1*, *BRCA2*, or *ATM*.<sup>15</sup> Whether this is representative of other Canadian jurisdictions is not yet known.

# Testing for DDR gene alterations in advanced prostate cancer

# Germline testing

Germline testing identifies variants that are present in all cells in the body. These changes are typically inherited from a parent and can be passed on to children. Patient samples for germline testing are accessible from buccal swabs, saliva, or leukocytes obtained from the buffy coat of a peripheral blood sample. Because the germline DNA does not change over time, the timing of testing will not influence the results (as it can for somatic testing). Importantly, a negative family history for cancer does not rule out the presence of a germline mutation, as Pritchard et al reported a family history of prostate or any cancer in only 22% and 71% of positive cases, respectively.16 While clinical and pathological features may be helpful in increasing the likelihood of identifying a germline mutation, 17 to date, no validated model exists to narrow the population of patients at risk of germline DDR gene alterations. As a result, several influential international guidelines and consensus statements recommend germline testing of DDR genes be offered to all patients with mPC, irrespective of other clinical features. 18-20 Despite this recommendation, there is currently no clear route for funding reimbursement for population-based germline testing in prostate cancer.

There are four basic pathways for germline testing: referral to medical geneticists/HCC; oncologist-mediated genetic testing ("mainstreaming"); direct-to-consumer commercial testing; and in parallel with somatic testing, particularly ctDNA.

### Table 1. Glossary of select terminology

**ATM** gene: Located on chromosome 11, the ataxia-telangiectasia mutated gene encodes a protein essential in the detection and signaling to repair DNA double-strand breaks. While it is considered a DNA damage repair gene, it does not appear to have any direct role in homologous recombination repair.

**BRCA genes:** The BRCA1 (chromosome 17) and BRCA2 (chromosome 13) genes encode proteins responsible for homologous recombination repair of double-strand DNA breaks. Functioning as tumor-suppressor genes, deleterious BRCA mutations can result in dysregulated cell growth and cell replication. Similar to other tumor-suppressor genes (e.g., TP53, RB1), loss of a single copy (monoallelic loss) of BRCA1/BRCA2 is not considered sufficient to lead to an adverse phenotype, owing to restoration of adequate protein function by the remaining functional copy of the gene.

**Cell-free DNA:** High-fragmented DNA that is released from cells through apoptosis, necrosis, and/or active secretion. Cell-free DNA has been most closely studied in the blood, but can exist in various other bodily fluids, including urine, pleural fluid, and peritoneal fluid.

Circulating tumor DNA fraction (ctDNA%): In patients with advanced cancer, the blood contains an admixture of cell-free DNA derived from malignant and non-malignant sources. Next-generation sequencing of patient plasma can provide an estimate of the proportion of total cell-free DNA that is tumor-derived, known as the ctDNA fraction. CtDNA% has been found to be prognostic and predictive in multiple tumor types, including advanced prostate cancer.

Clonal hematopoiesis of indeterminate potential (CHiP) mutations: CHiP mutations represent clonal expansion of white blood cells derived from hematopoietic stem/progenitor cells that possess one or more somatic mutations. Incidence rises with age and occurs in the absence of any detectable hematological malignancy. Failure to account for CHiP mutations (by concurrent sequencing of white blood cells) can result in false-positive detection of somatic alterations. This is particularly relevant in advanced prostate cancer, where CHiP mutations have been shown to exist in multiple DNA damage repair genes, including *ATM*, *BRCA2*, and *CHEK2*.

**Deleterious (inactivating/loss-of-function) mutation**: A mutation involves an alteration in the normal nucleotide sequence of a DNA segment. While most mutations do not confer a selective advantage of the cell carrying it (i.e., passenger/bystander mutation), deleterious mutations result in the protein product of the gene: 1) not being produced; 2) getting produced but with abnormal function; or 3) getting produced but interfering with normal cellular function. This should be differentiated from oncogenic (activating/gain-of-function) mutations, which allow cells to proliferate faster.

**DNA** damage repair (**DDR**)/homologous recombination repair (**HRR**) genes: DDR genes are the collective name given for large group of genes responsible for encoding proteins that detect and/or repair damage to DNA. The HRR system represents one of the most common DDR pathways specifically responsible for repairing double-stranded DNA breaks. In prostate cancer, key DDR/HRR genes include *BRCA1*, *BRCA2*, *ATM*, *CDK12*, and *CHEK2*.

**Germline mutation:** A mutation that occurs in a sperm cell or an egg cell, and thus, is present in all cells of the body and can be passed from parent to offspring. Germline mutations a most commonly detected either using a salivary sample or a blood sample (specifically white blood cells).

**Heterozygous** (monoallelic) loss: Loss-of-function mutation or deletion of a gene that only affects a single copy (allele) of the gene (assuming a normal diploid genome).

Homozygous (biallelic) loss: Loss-of-function mutation(s) and/or deletion(s) of a gene that affects both copies (alleles) of the gene (assuming a normal diploid genome). In malignant tumors, the most common mechanism for homozygous loss involves the combination of a deletion in one allele with an accompanying mutation in the remaining allele. Both events can occur exclusively in the somatic (tumor) genome, or alternatively, may involve an initial inheritance of a germline mutation, followed by a second-hit somatic alteration.

**Somatic mutation:** A mutation that affects the genome of a cell outside the germ line (e.g., somatic tumor mutation). Mutations of this nature cannot be transmitted to the next generation.

# Referral for germline genetic testing

Traditionally, germline genetic testing has been under the auspices of medical geneticists and/or genetic counsellors within HCCs. Clinicians refer to HCC based on clinical factors, such as disease characteristics and/or family history. Patients undergo a pre-test evaluation to establish suitability for genetic testing, an appropriate gene panel is selected, and counselling on the potential risks and benefits of genetic testing is performed. Test results are disclosed at a second visit and the patient is counselled on recommended management. This multi-visit model was established when genetic testing was expensive and candidate genes to be tested were relatively few; therefore, it was necessary to restrict testing to individuals meeting strict eligibility criteria, as verified by a trained genetic specialist. This model was also developed in

an era without genetic biomarker-directed treatment, reducing the urgency for test results. Many patients experience significant delays receiving genetic testing with this model. A recent report from Cancer Care Ontario found that the average wait time for cancer patients from referral to first appointment with a genetic counsellor was 183 calendar days, ranging from 30–590 days depending on the clinic.<sup>21</sup> This model is also inefficient, in that equal time is spent assessing and counselling the minority of patients who are found to have a mutation as the majority who will ultimately not be found to carry a mutation. Furthermore, as the cost of genetic testing has decreased and is now widely availability, the use of a geneticist as gatekeeper may be less important.

# Mainstreaming model of germline genetic testing

First established in the U.K. (https://www.mcgprogramme. com), the "mainstreaming" model refers to genetic testing ordered by an oncology clinician as part of routine care to address the disadvantages of the traditional model of genetic testing. Importantly, mainstreaming does not take place in isolation, but rather with the support of geneticists and/or HCC, who provide educational resources and accept referrals for individuals with positive findings or those requiring more in-depth counselling.<sup>22</sup> The potential risks to advanced cancer patients are different than healthy individuals. Potential financial risk, such as exclusion from life insurance, loans, and/or mortgages, are not likely to be viewed above and beyond their already established cancer diagnosis. Furthermore, Canada established the Genetics Non-Discrimination act in 2017, which legally prohibits the requirement of individuals to undergo or disclose the results of genetic testing.<sup>23</sup> Importantly, this law was upheld as constitutional by the Supreme Court of Canada in 2020.<sup>24</sup>

Healthy patients who carry pathogenic or likely pathogenic germline mutations can be faced with complex medical decisions, including more intensive followup and screening for cancers, as well as prophylactic procedures, such as mastectomy and oophorectomy. These decisions are not likely to be applicable to a patient with metastatic cancer, as such care would not be appropriate for an individual with a life-limiting illness such as mPC. However, there are still potential emotional and personal consequences to consider. Patients may fear that a pathogenic finding could create a sense of resentment towards them, or they may feel guilt for passing high-risk genes to children. While patients are not legally required to disclose the result of genetic testing, they are strongly encouraged to do so, so that family members can seek appropriate genetic testing (cascade testing) and medical care. Finally, mainstreaming has been introduced successfully in a variety of circumstances, including ovarian, breast, and most recently, prostate cancer. 22,25-28

Mainstreaming has demonstrated increased testing rates with decreased wait time compared to the traditional HCC model. For example, in one U.K. center, only 14% of eligible patients received germline *BRCA1/2* testing prior to the implementation of mainstreaming for ovarian cancer, with a mean turnaround time of 148.2 days. This improved to 95% of eligible patients receiving testing, with a mean turnaround time of 20.6 days with the introduction of mainstreaming.<sup>25</sup> How well mainstreaming would work for mPC patients in Canada remains to be seen, however, an Australian group published their experience of mainstream genetic testing in mPC, with 95% of approached patients consenting to testing and high levels of patient and clinician satisfaction.<sup>28</sup> Also, the recent increased use of virtual healthcare technologies due to the COVID-19 pandemic

represents an opportunity for better geographic diffusion of access to genetic counselling.<sup>29</sup>

As attractive as mainstreaming is, a potential barrier to its widespread adoption is the significant Canadian regional variation in resources and provider comfort in discussing genetic testing. This variation could lead to differences in uptake and application of testing. Mainstreaming also requires a non-insignificant time commitment to both develop the knowledge and expertise required, as well as to obtain consent and initiate genetic testing in clinic. Different models, including provider checklists and prerecording videos, can address some of these challenges. This is particularly relevant in community and rural centers where prostate cancer care may represent a small proportion of a broad clinical practice for the clinician. In a healthcare system that finds many clinicians already overburdened with responsibilities and limited in resources, mainstreaming may not be universally feasible or accepted.

Fig. 1 depicts a schematic representation of mainstreaming germline genetic testing compared to the traditional pathway of referral to a HCC.

# Commercial third-party germline genetic testing

Patient-initiated, clinical-grade germline genetic testing through third-party private companies is another alternative to the HCC model. Examples include Color Genomics (www.color.com) and Invitae (www.invitae.com). These companies offer rapid testing of saliva samples, along with post-test genetic counselling. Both companies currently advertise a cost of approximately \$250 USD, which most Canadians would find affordable. As testing is directly accessible to patients, clinician guidance is strongly encouraged to ensure that an appropriate gene panel is selected and the results are correctly interpreted.

While this is an accessible and affordable option for most, many clinicians and patients steeped in the Canadian health-care system may be uncomfortable at the prospect of facing out-of-pocket costs for what many view should be standard-of-care. We believe clinicians counselling patients on genetic testing should include this as an option for patients to encourage open and honest dialogue. Furthermore, some clinicians caring for mPC patients may not have ready access to refer a patient to a HCC or necessary resources for mainstreaming, and commercial testing may be the only viable option to access genetic testing.

Patients who pursue this should be encouraged to share their results with their healthcare providers, and if a mutation is identified or there are other concerning features on history, referral to a local HCC (if available) should occur to ensure appropriate counselling, followup, and referral to local resources. It is important that clinicians and patients are aware of appropriateness of tests ordered, as well as

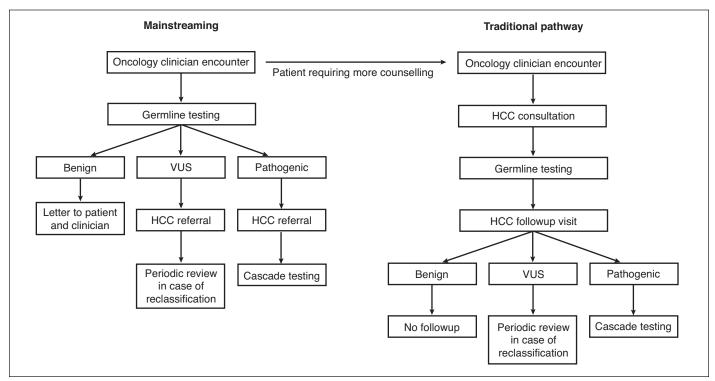


Fig. 1. A schematic representation of mainstreaming germline genetic testing compared to the traditional pathway of referral to a Hereditary Cancer Clinic (HCC). VUS: variant of uncertain significance.

reliability of the company selected. There are many direct-to-consumer companies available, but results may not be clinically relevant, complete, or accurate.<sup>30</sup> Some companies include pre- and post-test genetic counselling; however, and particularly if not included, clinicians should be prepared to discuss this information with their patient.

### Somatic testina

Somatic testing requires access to tumor tissue DNA, which can be acquired from archival biopsy or surgical samples, fresh tumor biopsies, or so-called "liquid biopsies," where plasma-derived cell-free DNA (cfDNA) are analyzed from peripheral blood samples. All people have cfDNA in their blood plasma. However, in patients with cancer, a varying proportion of the cfDNA is tumor-derived and referred to as ctDNA. Solid tumor biopsy or surgical samples have long been the standard for somatic testing. As such, many research, clinical, and commercial laboratories are capable of performing tissue-based NGS. There are, however, notable disadvantages to this approach in mPc: the diagnostic or surgical tissue specimen may predate the development of metastatic disease by many years, such that sample availability and quality may not be optimal; many patients that present with de novo metastatic disease are diagnosed clinically with no available tissue sample or by fine needle aspiration (FNA) biopsy, which does not yield sufficient tissue DNA for testing; prostate biopsy can be painful and carries a significant risk of adverse complications;<sup>31</sup> many patients with advanced prostate cancer frequently have bone-only metastatic disease, which can be challenging to biopsy and less likely to yield sufficient material for NGS;<sup>32</sup> and intra-tumoral heterogeneity and clonal temporal evolution may not be reflected in a solitary biopsy specimen, though most DNA repair gene alterations appear to be early, truncal events in prostate cancer, with high concordance between diagnostic prostate biopsies and either ctDNA samples or metastatic biopsies.<sup>15,33</sup>

The somatic testing to determine eligibility in the PROfound clinical trial was performed by Foundation Medicine (Cambridge, MA, U.S.) on either archival or fresh biopsy formalin-fixed paraffin-embedded (FFPE) samples. The patient disposition seen in PROfound can serve as evidence of the limitations of solid tumor testing: 4425 patients were enrolled for screening, only 2792 (63%) were successfully sequenced, with 378 (9%) not having tissue available and 1255 (28%) failing sequencing due to sample inadequacy (predominantly low tumor fraction or DNA yield).<sup>34</sup> However, a recent report from the STAMPEDE trial demonstrated that in samples that were obtained within eight months, the success rate of tumor-based NGS was 92%, suggesting that the success of tumor-based assays is highly dependent on the age of archival tissue, owning to effects of DNA degradation over time.<sup>35</sup>

# Circulating tumor DNA somatic testing

ctDNA is an emerging alternative to tissue-based somatic testing. It is relatively non-invasive, requiring a simple blood draw; intra-patient heterogeneity is potentially less of an issue with ctDNA testing since multiple tumor deposits are presumed to contribute to the ctDNA fraction; and ctDNA can be serially sampled over time due to the minimally invasive nature, allowing the study of temporal clonal dynamics and resistance mechanisms.<sup>36,37</sup> It is worth noting that similar to the sample inadequacy issues of testing prostate tumor tissue, a significant minority of mCRPC patients have relatively low levels of ctDNA in their blood.

The proportion of ctDNA to total cfDNA ("ctDNA fraction") varies significantly depending on the clinical disease state and response to therapy, with patients with progressive mCRPC having higher cfDNA yields and ctDNA fraction than those with metastatic hormone-sensitive prostate cancer (mHSPC) receiving androgen deprivation therapy (ADT).<sup>38</sup> Using a threshold ctDNA fraction of >2% to be sufficient for somatic testing, approximately 75% of patients with progressive mCRPC will be successfully tested for somatic mutations using ctDNA.<sup>39</sup> While this ctDNA fraction is sufficient for identifying somatic mutations, identifying copy number alterations requires ctDNA fractions of >10–20%. Clinically available factors associated with disease burden can be used to roughly predict the ctDNA fraction (https://ctdna.org/).

The PROfound trial collected ctDNA samples at baseline and were tested by Foundation Medicine (Cambridge, MA, U.S.) using the FoundationOne Liquid CDx assay, though these results were not used to determine patient eligibility. A total of 619 samples underwent ctDNA testing, with 503 (81%) yielding a result, and with a high level of concordance between ctDNA and tissue-based testing. Structural genomic changes, such as homozygous deletions and rearrangements, are technically challenging to identify when ctDNA fractions are low, which accounts for some of the discordant results between ctDNA and tumor testing.<sup>40</sup>

It should also be noted that ctDNA testing can be confounded by clonal hematopoesis of indeterminate potential (CHiP). CHiP variants are identified in both whole-blood, as well as plasma, while ctDNA mutations are only identified in plasma. As such, whole-blood leukocyte NGS should accompany plasma NGS to filter variants that are related to CHiP. Highlighting the importance of this, a recent study in patients with mPC who underwent ctDNA testing found that 10% had variants in *ATM*, *BRCA2*, and *CHEK2* that were due to CHiP.<sup>41</sup> Had whole-blood leukocyte NGS not been performed, these patients would have falsely been identified as candidates for PARP inhibitor therapy. Therefore, in patients undergoing ctDNA testing, we advise to verify that whole blood leukocyte testing is also being performed to rule out CHiP.

Ultimately, neither tissue- nor blood-based somatic testing is able to characterize all patients. As companion biomarker testing for olaparib is being planned and developed, this is an important consideration. While ctDNA testing offers a number of advantages, an optimal diagnostic pathway will be capable of offering both ctDNA and tissue-based testing.

# Implementation of DDR testing within the Canadian healthcare system

The population of mPC patients requiring germline testing would overwhelm HCC for referrals for pre-test counselling and we, therefore, encourage clinicians to initiate discussions with their HCC to identify pathways for referrals, coeducation regarding the prevalence of DDR gene alterations, the importance of germline testing, and the potential to offer mainstreaming. Many centers already offer mainstreaming in some capacity, typically for ovarian and/or breast cancer, and many geneticists prefer this approach. Discussions should also include provincial lab formularies, as the increased demand for germline testing in this population likely result in additional laboratory cost, both at the level of testing, as well as result interpretation. However, germline genetic testing has been shown to be cost-effective to the healthcare system as a whole in both ovarian<sup>42</sup> and breast<sup>43</sup> cancer and effective risk-reduction measures can be undertaken in asymptomatic carriers.44 This cost-effectiveness has yet to be explicitly demonstrated in mPC, but it is important to remember that affected men can have carrier female relatives at high risk of breast and ovarian cancer, meaning that mPC screening also offers a strategy to further reduce the mortality of breast and ovarian cancers.

Access to somatic testing varies regionally. To our knowledge, no Canadian jurisdiction currently provides provincial reimbursement for somatic NGS in mPC; however, a number of institutions have research protocols that provide somatic NGS for patients with advanced cancer, such as OCTANE (Ontario-wide Cancer TArgeted Nucleic acid Evaluation, ClinicalTrials.gov identifier: NCT02906943)45 or the Personalized Oncogenomics (POG) Program of British Columbia (ClinicalTrials.gov identifier: NCT02155621). Other institutions may be able to access somatic NGS for select patients through interventional clinical trials, as a number of actively recruiting trials offer this as a screening requirement. Examples include the Canadian Clinical Trials Group PC-BETS trial (ClinicalTrials.gov identifier: NCT03385655) or TRITON3 (ClinicalTrials.gov identifier: NCT02975934). Despite this, for the majority of Canadian prostate cancer patients, the only way to access somatic NGS is through third-party commercial testing. Companies such as Foundation Medicine (www.foundationmedicine. com), which offers both tissue- and ctDNA-based somatic

testing, and Caris Life Sciences (www.carislifesciences.com), which offers tissue-based testing, are available to patients who are able and willing to pay out-of-pocket. The cost of somatic testing through third-party commercial testing, which typically ranges in the thousands of dollars, will be prohibitive for many patients. As such, until there is provincial reimbursement for companion diagnostic somatic NGS for advanced prostate cancer patients, access to testing will be limited. Frank and honest discussions with patients regarding this will be required, along with a knowledge of how to access testing for patients who wish to do so.

Germline and somatic testing should be viewed as complimentary and ideally not performed in isolation. Somatic-only NGS does not differentiate between somatic and germline mutations, although computational methods can be used when analyzing tissue-based somatic NGS results that are highly predictive for germline mutations. <sup>46</sup> These methods may not be done by all labs, and therefore, pathogenic/likely pathogenic (tier 1 or 2) (Table 2) somatic testing results will usually also require germline testing to direct the need for cascade testing of at-risk family members. While somatic testing is sufficient for the purposes of directing PARP inhibitor therapy, germline testing is not, with less than half of actionable *BRCA1*, *BRCA2*, and *ATM* alterations detected on tumor testing in mCRPC found to be germline in origin. <sup>12</sup>

### Provincial reimbursement

A decision on provincial reimbursement for olaparib and a companion diagnostic (in this case somatic NGS) is pending at the time of publication, though a positive decision seems likely, given the magnitude of benefit seen, the novel mechanism of action, and precedence of approval in other diseases, namely ovarian cancer.<sup>47</sup> The exact type and ideal

pathway of testing is yet to be determined and will likely vary between regions. We encourage clinicians to engage with their molecular pathology labs and clinical genetics departments to begin discussions regarding provincial reimbursement and to define optimal local pathways for testing.

# Management of the metastatic prostate cancer patient with DDR gene alterations

# Patient selection for olaparib

While olaparib is Health Canada-approved for use in mCRPC patients with *BRCA1*, *BRCA2*, and *ATM* alterations based on the landmark PROfound clinical trial, <sup>10,35</sup> emerging evidence suggests that these three genes may confer varying degrees of sensitivity to PARP inhibitors.

#### BRCA2

BRCA2 is the most frequently altered DNA repair gene in mPC and represents the largest population of patients in the PROfound trial, making up 52% of cohort A and 33% of the overall treatment population.<sup>35</sup> In contrast, BRCA1 mutations are infrequent in advanced prostate cancer, occurring in approximately 1% of mCRPC patients<sup>12</sup> and only 5% of patients in cohort A of PROfound. This is in contrast to other cancers where PARP inhibitors have received regulatory approval, such as ovarian,<sup>48</sup> breast,<sup>49</sup> and pancreatic<sup>50</sup> cancers, where both BRCA1 and BRCA2 represent significant patient populations. In the subgroup analysis of the PROfound trial, as well as an exploratory gene-by-gene analysis, BRCA1 and BRCA2 are grouped together.<sup>35,51</sup> As such, no conclusions on the relative efficacy of olaparib in these gene mutations can be drawn from this trial. However,

Germline (Richards 2015)		Somatic (Li 2017)	
Terminology	Definition	Terminology	Definition
Pathogenic	Very strong evidence of association of gene alteration with disease state.	Tier 1 – variants with strong clinical significance	Variants that have regulatory approval or endorsed by professional guidelines to predict response/resistance to approved therapies or have diagnostic and/or prognostic significance.
Likely pathogenic	Moderate to strong evidence of association of gene alteration with disease state.	Tier 2 – variants with potential clinical significance	Variants that have regulatory approval or endorsed by professional guidelines to predict response/resistance for a different tumor type, or investigative therapies that have some clinical or pre-clinical evidence.
Variant of unknown significance	Lack of, or conflicting evidence to suggest either pathogenic or benign gene alteration.	Tier 3 – variants of unknown significance	Variants that have been reported with unknown clinical significance, or variants in cancer genes that have not been previously reported.
Likely benign	Strong or supporting evidence to suggest no association of gene alteration with disease state.	Tier 4 – benign or likely benign variants	Variants that are observed at significant frequency with no existing published evidence of cancer association.
Benign	Strong or very strong evidence to suggest no association of gene alteration with disease state.		

given the preponderance of *BRCA2*, we can assume that these patients are responsible for the majority of the effect in this analysis. Given an objective response rate of 44%, prostate-specific antigen (PSA) response rate of 62%, and robust improvements in radiographic progression-free survival (rPFS) and overall survival (OS), it is clear that olaparib is highly active in *BRCA2*-mutated mCRPC.

#### BRCA1

It is challenging to draw conclusions regarding the activity of olaparib in *BRCA1*-mutated mCRPC from PROfound; however, the phase 2 TRITON2 study, which investigated the efficacy of rucaparib in mCRPC patients with *BRCA1* or *BRCA2* mutations, identified a lower PSA response rate in *BRCA1* compared to *BRCA2*, at 15.4% and 59.8%, respectively, as well as lower objective response rate (ORR) and shorter rPFS.<sup>52</sup> Similarly, a retrospective multicenter study of 123 *BRCA1*- or *BRCA2*-altered mCRPC patients treated with PARP inhibitors found a significantly lower PSA response rate, and shorter PFS and OS for *BRCA1* compared to *BRCA2*.<sup>53</sup> Given that olaparib is known to be highly active in other malignancies with *BRCA1* mutations, it is tempting to dismiss these results; however, there may be biological rationale to explain this differential sensitivity to PARP inhibition.

A pan-cancer analysis of 234 154 tumors sequenced by Foundation Medicine found that the vast majority of *BRCA1* alterations in prostate cancer were mono-allelic (meaning that there is a still a functional allele), whereas the vast majority of *BRCA2* alterations were bi-allelic.<sup>54</sup> While there is still clearly activity of PARP inhibitors in *BRCA1*-altered mCRPC, the benefit may be less relative to *BRCA2* and may require a more nuanced patient identification based on biallelic loss. Further evidence is required to fully characterize the activity and optimize patient selection of PARP inhibitors in these patients.

#### ATM

An exploratory gene-by-gene analysis of the PROfound trial demonstrated that for patients with ATM mutations, both median rPFS and OS were similar to the control arm, with hazard ratio of 1.04 and 0.93, respectively.<sup>51</sup> The objective response rate was 10% in both the olaparib and control arms, with confirmed PSA response lower in ATM patients who received olaparib. The TOPARP-B study reported a composite response rate of 36.8% of patients with ATM alterations, but it is important to point out that the majority of these responses were achieved due to circulating tumor cell (CTC) conversion, a response criterion not typically used in clinical practice.<sup>55</sup> The PSA and ORR from this study, 5.3% and 8.3%, respectively, are similar to what was reported in PROfound. Furthermore, the phase 2 TRITON2 study found similarly modest activity of rucaparib in ATM-altered mCRPC.<sup>56</sup> As the PROfound trial was not designed to investigate the benefit of olaparib based on individual genes or prior taxane use, caution must be exercised in interpreting these results. However, it is clear that *ATM* alterations appear to confer less sensitivity to PARP inhibitors than *BRCA2*.

### Treatment sequencing

Where olaparib will fit into the treatment sequence for a patient with mCRPC is not well-defined. The treatment population for PROfound mandated at least one ARAT and allowed all approved mCRPC therapies; therefore, patients could have had as few as one, or as many as six life-prolonging mCRPC therapies prior to study entry. The Health Canada approval mandates one prior ARAT, but is agnostic on what disease state (mCRPC, metastatic castration-sensitive prostate cancer [mCSPC], nonmetastatic [nm]CRPC) it has been used for, as well as other prior lines of therapy. Additionally, there is insufficient evidence to conclude whether *BRCA1/2* or other DNA repair gene mutations are predictive of response (or lack thereof) to other standard-of-care therapies, such as ARAT and taxanes.

Studies examining this are challenging to interpret due to the heterogeneity in patient populations studied, therapies used, and definitions of benefit. These studies have reported worse, <sup>57,58</sup> similar, <sup>14,59</sup> or even improved outcomes<sup>60</sup> in DDR gene-altered prostate cancers relative to controls. Based on this, one cannot draw any meaningful conclusions. Lacking high-quality evidence, the default position is that these patients should still be considered for all potential therapies, given that the registration trials for these life-prolonging treatments did not use biomarker selection.

Ultimately, the decision for where to use olaparib in the treatment sequence rests with the physician and patient. Below are considerations that may guide these decisions.

### Second-line ARAT

The preference for olaparib over second-line ARAT is clearly present, as this was the comparator arm of the PROfound study, over which olaparib demonstrated superiority. It is our opinion that this preference remains irrespective of the disease context in which the initial ARAT was prescribed. For example, the patient who received their initial ARAT in nmCRPC or mCSPC should be viewed the same as a patient who received their initial ARAT in mCRPC. There is no biological rationale that the disease context of initial therapy affects response to further therapy, and sequential ARAT therapy in mCRPC has demonstrated very modest clinical activity.<sup>61</sup>

### Docetaxel

The Health Canada approval for docetaxel in mCRPC was made based on the TAX327 study, which predated the development of abiraterone and enzalutamide,<sup>2</sup> and therefore, not representative of the patients treated in the modern era. However, retrospective series have shown docetaxel remains

active after progression on ARAT.<sup>62,63</sup> In the specific population of *BRCA1-*, *BRCA2-*, or *ATM*-altered mCRPC, available evidence generally indicate that docetaxel has similar efficacy compared to wildtype mCRPC patients,<sup>58,59</sup> although one series reported worse cancer-specific survival after first taxane in germline *BRCA2* patients compared to controls, despite similar response rates.<sup>58</sup>

Alternatively, at least for *BRCA2*-altered mCRPC patients, there is robust survival data for olaparib in a modern patient population, with high PSA and RECIST responses. However, in the absence of direct a head-to-head comparison, both agents are reasonable options.

When considering *BRCA1* and *ATM*, the choice is somewhat more complicated, given the smaller patient numbers represented in PROfound and less impressive outcomes in subgroup analyses from PROfound and TRITON2, as discussed above. Thus, in *BRCA1*- and *ATM*-altered patients, a clear preference for olaparib or docetaxel is not present, and will ultimately depend on clinician and patient preferences, although some authors have advocated a preference for taxanes over olaparib in non-*BRCA2*-altered cancers.<sup>64</sup>

Of note, the ongoing TRITON3 study (*ClinicalTrials.gov* identifier: NCT02975934) investigating rucaparib vs. investigator's choice, includes the option for docetaxel in the control arm, providing a direct comparison of a PARP inhibitor vs. docetaxel in DDR gene-altered mCRPC.

### Cabazitaxel

For a patient who has received prior ARAT and docetaxel, and is a candidate for further cytotoxic chemotherapy, the choice between cabazitaxel and olaparib is present. Similar to docetaxel, the registration trial that led to the approval of cabazitaxel occurred in an era where the vast majority of patients had not received an ARAT.<sup>3</sup> However the CARD trial provides recent prospective data that confirms the survival advantage of cabazitaxel post-docetaxel in ARAT pre-treated patients.<sup>65</sup> Therefore, both cabazitaxel and olaparib have high-quality evidence of survival data in a representative patient population.

The CARD trial did not have any biomarker selection or stratification; therefore, one cannot draw conclusions on the impact of *BRCA2* or other DDR gene mutations on the efficacy of cabazitaxel. In the absence of comparative data, both are acceptable options. Choice of therapy should be driven by patient factors, including which qualifying mutation is present.

### Radium-223

Not all Canadian provinces reimburse radium-223, and is therefore not universally available. Interestingly, emerging evidence suggests that mCRPC patients with DDR genes alterations may derive a greater benefit from radium-223 than DDR gene wildtype patients. A multicenter, retrospective study demonstrated a significantly improved OS in patients with DDR-altered compared to wildtype mCRPC patients.<sup>66</sup> Further, an interim analysis from the prospective PRORADIUM study similarly demonstrated a trend towards improved OS.<sup>67</sup> Once again, in the absence of a direct head-to-head comparison, it is difficult to make recommendations regarding a preference of olaparib vs. radium-223, as both are supported by OS benefit in high-quality, randomized controlled trials. The choice will ultimately depend on treatment availability, along with clinician and patient preferences.

## Platinum-based chemotherapy

While no platinum-based chemotherapy has received Health Canada approval for prostate cancer, many clinicians consider it a reasonable option in select clinical circumstances. Several recent retrospective series have shown that platinum-based chemotherapy is active in DDR gene-altered mCRPC,<sup>68,69</sup> with comparable PSA and soft tissue responses in *BRCA2* patients as was reported in PROfound,<sup>70</sup> and responses even after progression on PARP inhibitors. While platinum-based chemotherapy appears to be active in this patient population, olaparib is preferable, given that it has demonstrated an OS benefit in a phase 3, randomized control trial, a level of evidence that doesn't exist for platinum. However, in patients who cannot access or who have progressed on olaparib, platinum-based chemotherapy is an option.

### **Future directions**

While germline testing for prostate cancer has been endorsed by international guidelines and has been adopted in many centers, to date, very little research has been published on the impact on patients, their families, and healthcare systems. To our knowledge, there have been no publications on cost-effectiveness of germline testing in prostate cancer, representing an area of unmet need. The optimal testing algorithm and time to test patients for somatic and germline DNA repair gene alterations is unclear. At present, the only indication for somatic testing is to determine eligibility for olaparib after progression on at least one ARAT. However, germline testing is ideally done as soon as possible to inform at-risk family members so that they can access appropriate screening and management. Also, it seems likely that PARP inhibitor therapy may move into earlier disease states, as it is currently being investigated in a number of clinical trials.

We anticipate that testing algorithms will evolve and likely suggest earlier initiation of testing. A number of questions still remain on which patients are most likely to benefit from PARP inhibitors. As other trials are published, along with real-world datasets, we expect to see a refinement in which specific DNA repair gene alterations are predictive of response. We may also see a move away from identifying specific gene alterations, and rather a phenotypic analysis,

such as Myriad Genetics myChoice, which has been used in some ovarian cancer trials.<sup>71</sup> This is appealing, as rather than predicting whether a homologous recombination (HR) defect is present based on alterations of individual genes, these scores provide an assessment of genomic alterations that associates with defective HR.

While olaparib is the first PARP inhibitor to receive Health Canada approval, a number of other agents, including rucaparib, niraparib, and talazoparib, are undergoing clinical trials and may also receive regulatory approval. It is likely that PARP inhibitors will move into earlier disease states, as has occurred with many other agents that received their initial approval in pre-treated mCRPC patients. Phase 3 trials are already underway investigating PARP inhibitors in other settings, including mCSPC, first-line mCRPC, and pre-treated mCRPC, with most combining a PARP inhibitor with other systemic therapies (Table 3). This includes standard-of-care mPC therapies, such as abiraterone and enzalutamide, based on supporting pre-clinical evidence, as well as a randomized, phase 2 study that demonstrated a benefit of olaparib in combination with abiraterone regardless of HR repair mutation status.72 PARP inhibitors in combination with other DNA repair pathway inhibitors, such as ATR, is also under active investigation. This combination has encouraging pre-clinical rationale for both BRCA- and ATM-altered cancers. 73,74 Recently, the combination of olaparib with cediranib, a small-molecule vascular endothelial growth factor receptor tyrosine kinase inhibitor, demonstrated improved rPFS over olaparib monotherapy in mCRPC patients, which appeared to be greatest in HR-deficient cancers, particularly those with non-BRCA2 DNA repair gene alterations.<sup>75</sup>

## **Conclusions**

The Health Canada approval of olaparib has introduced a new biomarker-driven era in the management of advanced prostate cancer. This brings the prospect of both challenges and opportunities. Clinicians will need to become familiar with the nuances of accessing and interpreting both germline and somatic testing. Clinicians, geneticists, and molecular

pathologists must also play a role in advocating for patients to establish streamlined routes for such testing, along with provincial reimbursement. While this biomarker-defined patient population has only recently been identified, we are seeing a rapid emergence of literature defining the clinical characteristics of these patients. We expect to see a refinement in patient identification and use of standard-of-care therapies (including platinum-based chemotherapy), as well as novel therapies in combination with PARP inhibitors.

Competing interests: Dr Kolinsky has received honoraria and/or consulting fees from and has participated in industry-sponsored clinical trials for Astellas, AstraZeneca, Bayer, BMS, Eisai, Ipsen, Janssen, and Merck. Dr. Kwan is an advisory board member for Astellas, Ipsen, and Janssen; has received compensation in the form of travel/accommodations from Astellas, Ipsen, Pfizer, and Roche; and has received grants and/or honoraria from Astellas Pharma, Ipsen, and Janssen. Dr. Hotte has been an advisory board member for AAA/Novartis, Astellas, Bayer, BMS, Eisai, Ipsen, Janssen, Merck, Pfizer, and Seagen; has received grants/honoraria from Astellas, Bayer, BMS, and Janssen; and has participated in clinical trials supported by AAA/Novartis, Astellas, BMS, CCTG, Eisai, Merck, Pfizer, SeaGen, and SignalChem. Dr. Hamilou has received payment for presentations from AstraZeneca, EMD Serono, and Sengen; and has participated in a clinical trial supported by Sengen. Dr. Yip has been an advisory board member for and received honoraria from AstraZeneca, Bayer, BMS, Hoffman-La Roche, Ipsen, Janssen, Merck, Novartis, and Pfizer; and has participated in clinical trials supported by AstraZeneca and Pfizer. Dr. Chi has received payments for consulting services from Astellas, AstraZeneca, Daiichi Sankyo, Janssen, Novartis, Pfizer, Point Biopharma, Roche, and Sanofi; and has received educational speaker honoraria and research grants from Astellas, AstraZeneca, Janssen, Novartis, Point Biopharma, Roche, and Sanofi. Dr. Wyatt has received honoraria from Astellas, AstraZeneca, Janssen, and Merck; and has received a research grant from Janssen. Dr. Saad has received grant(s) and/or honoraria from Astellas, Astra Zeneca, Bayer, Janssen, Knight, Myovant, Novartis, Pfizer, and Sanofi. The remaining authors do not report any competing personal or financial interests related to this work.

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Table 3. Active phase 3 trials of PARP inhibitors in advanced prostate cancer						
Name	clinicaltrials.gov identifier	Patient population	Genomic selection	Intervention		
AMPLITUDE	NCT04497844	mCSPC	Yes	AAP + niraparib vs. AAP + placebo		
TALAPRO-3	NCT04821622	mCSPC	Yes	Enzalutamide + talazoparib vs. enzalutamide or placebo		
PROpel	NCT03732820	1st-line mCRPC	No	AAP + olaparib vs. AAP + placebo		
CASPAR	NCT04455750	1st-line mCRPC	No	Enzalutamide + rucaparib vs. enzalutamide + placebo		
TALAPRO-2	NCT03395197	1st-line mCRPC	No	Enzalutamide + talazoparib vs. enzalutamide + placebo		
MAGNITUDE	NCT03748641	1st-line mCRPC	No	AAP + niraparib vs. AAP + placebo		
TRITON3	NCT02975934	2nd-line mCRPC	Yes	Rucaparib vs. AAP or enzalutamide or docetaxel		
KEYLINK-010	NCT03834519	3rd-line mCRPC	No	Olaparib + pembrolizumab vs. AAP or enzalutamide		

AAP: abiraterone acetate and prednisone; mCRPC: metastatic castration-resistant prostate cancer.

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