Optimizing the management of patients with small renal masses in a Canadian context: A Markov decision-analysis model

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Abstract

Introduction: The management of patients with a small renal mass (SRM) varies significantly. The objective of this study was to determine which initial management strategy resulted in the greatest quality-adjusted life months (QALM) for an index patient with a SRM.

Methods: A Markov decision analysis was used to determine the effect of 1) treating patients with a partial nephrectomy (PN); 2) active surveillance; and 3) renal mass biopsy on QALM over a 10-year horizon. All relevant health states were modelled. Biopsy sensitivity and specificity were modelled assuming an 80% prevalence of cancer using procedural pathology as the gold standard. Health state utilities were obtained from the Tufts Medical Centre Cost-Effective Analysis Registry. Deterministic sensitivity analyses were used to test key assumptions.

Results: Over a 10-year time horizon for a 70-year-old male with a 2 cm SRM, the biopsy strategy resulted in 38.07 QALM, whereas treating all patients with PN resulted in 37.69 QALM and active surveillance in 36.25 QALM. The model was most sensitive to the probability that a patient would remain alive at baseline. Biopsy was the preferred strategy when sensitivity was greater than 77%. As the underlying probability of cancer increased, the threshold of renal mass biopsy sensitivity to still favor biopsy increased.
Conclusions: Renal mass biopsy is the preferred initial management strategy for an index patient with a SRM to optimize QALM. When the probability of cancer is high, centers should aim for a sensitivity of at least 77% in order to consider a biopsy first strategy.

Introduction
The incidence of kidney cancer has increased steadily over the last 30 years.\(^1,\)\(^2\) With the increased availability and use of ultrasounds and cross-sectional abdominal imaging the majority of kidney cancers are now diagnosed incidentally as small renal masses (SRM).\(^2,\)\(^3\) A SRM is typically defined as a tumour in the kidney that measures <4 cm.\(^4\) The majority of these tumours are cancerous, however approximately 20% of SRM are benign.\(^5,\)\(^6\) Interestingly, despite this global trend of diagnosing kidney cancers at an earlier stage, the mortality rate of kidney cancer has not changed significantly over this same time frame.\(^2\) This raises questions about whether we are over-diagnosing and over-treating many patients who would never be impacted by the SRM over the course of their lifetime. The concept of over-diagnosis and over-treatment is most pronounced for older patients with multiple co-morbidities. These patients have a higher risk of death from competing causes and are at increased risk of complications from treatment of the SRM\(^7\). Thus there may be a greater risk of harming these patients than helping them by treating a SRM with an invasive procedure\(^8\).

The management of patients with a SRM varies significantly. Historically, radical surgery to remove the entire kidney would have been recommended.\(^9,\)\(^10\) In contemporary times, the management options include: 1) surgery to remove the tumour with a partial nephrectomy (PN), 2) thermal ablation of the tumour (if anatomically possible), and 3) active surveillance to monitor the tumour with regular imaging.\(^4,\)\(^11\) To inform the decision between surgery, ablation and active surveillance, a renal mass biopsy can be used to determine the pathology of a SRM. Benchmarks for the sensitivity and specificity of renal mass biopsy have not been defined, however, and it is possible that poorly performed biopsies may expose patients to additional harm without benefit. In the development of the recent Kidney Cancer Research Network of Canada’s (KCRNC) best practice report on biopsy for renal masses, there was a fair amount of discussion regarding the limitations of benchmarking the parameters for renal mass biopsies across Canada because the majority of the large studies had been done in centres of excellence.\(^12\) This group of experts called for an assessment of the realistic benchmarks that small centres should aim for to ensure they are meeting standards of care.\(^12\) Given the complexity of competing risks for these patients, utilities and disutilities of each disease state and characteristics of renal mass biopsy, decision analysis modeling allows for assessment of these questions and sensitivity analyses to compare ranges of probabilities for each variable.
The objective of this study was to determine which of renal mass biopsy, PN or active surveillance resulted in the greatest number of quality adjusted life months (QALM). A secondary objective was the assess the minimum sensitivity and specificity required for renal mass biopsy to produce the greatest QALM relative to PN and active surveillance at academic and community centres across Canada.

Methods

Model structure
A decision tree was constructed for patients with a SRM (Appendix 1). Three management strategies were modeled including: 1) treating all patients with PN, 2) starting all patients on active surveillance or 3) proceeding first with renal mass biopsy. Thermal ablation was not included in this model as it is not available at all centres in Canada and not all SRMs are amenable to ablation due to their size and location within the kidney. In each management pathway, the underlying probability that the SRM was cancerous was incorporated. Following completion of the initial treatment pathway, in which patients received a PN, renal mass biopsy or were started on active surveillance, they entered one of four Markov health-state transition models with a cycle length of 1 month, and a time horizon of 10 years. The outcome of interest was QALM. This outcome was chosen since invasive and conservative strategies may drastically effect health utilities without significantly increasing quantity of life in these patients. The base case was set as a 70-year-old healthy male patient with a 2-cm renal tumour who would be willing and able to receive any of the management strategies. This base case is reflective of the index patient reported in large series of patients managed for SRMs.\textsuperscript{13-17} Half-cycle correction was employed for utility calculations with standard discounting rates of 1.5%. All modelling was performed on TreeAge Pro, Healthcare edition.\textsuperscript{18}

Treatment strategies

Treat all patients with partial nephrectomy
In this management arm, all patients received a PN. This model assumes that the tumour was amendable to a PN and that an open approach to the surgery was used. Ablative therapies were not included in the model as they are not widely available in all centres. Adverse post-operative events were included in the model with their associated disutilities. After PN, patients entered separate Markov models depending on the underlying probability the SRM was cancerous. For non-cancerous lesions, patients entered a Markov model in which they had the probability of dying or living per cycle based on a healthy age-matched population. A state-transition diagram for this Markov model (M0) is shown in Appendix 2. These patients still received the disutility associated with surgery. Patients with a cancerous tumour entered a separate Markov model (M1) in which they all began in a “post-partial nephrectomy with no cancer” disease state. These patients could remain in this state or could then cycle into other disease states including “local
“recurrence”, “distant metastases” or “death”. It was assumed that patients who developed a local recurrence would proceed with a radical nephrectomy. Patients who received a radical nephrectomy could then enter a “post-radical nephrectomy” state or could enter “distant metastases” or “death”. Adverse post-operative events associated with radical nephrectomy were modelled as transition states in the Markov model.

Active surveillance
In this management arm, patients entered one of two Markov models based on their probability of having a cancerous SRM. All patients with a cancerous SRM entered the Markov model in the “no local progression” disease state (M2). These patients could remain in the “no local progression” state or could cycle to other disease states including “local progression”, “metastatic disease”, or “death”. It was assumed that a patient who developed local progression would proceed with a PN. Patients who received a PN could then enter the “post-partial nephrectomy”, “metastatic disease” or “death” states. Patients who received a PN could develop “local recurrence” and it was assumed that these patients would then receive a radical nephrectomy and would enter a “post-radical nephrectomy” state as described above. Patients could remain in the “post-radical nephrectomy” state or could cycle into “distant metastases” or “death” states. Finally, patients with benign SRM entered a similar Markov model where they were able to develop local progression triggering a PN, however, these patients would not have the possibility of developing local recurrence or distant metastatic disease based on their non-cancerous tumour (M3).

Renal mass biopsy
Patients entering the renal mass biopsy arm received a biopsy with the possibility of a complication after biopsy. The biopsy had the potential to be diagnostic or non-diagnostic. A diagnostic biopsy indicated that the tumour was appropriately sampled and the tissue obtained was adequate for pathological review. A non-diagnostic biopsy indicated that the tumour was missed and a diagnosis was not possible based on the tissue obtained. It was assumed that a patient who received a non-diagnostic first renal mass biopsy would proceed with a second attempt, once again with the possibility of a complication. If this second attempt at a biopsy was again non-diagnostic, it was assumed that these patients would proceed with PN as described above. A summary of all assumptions in the model is available in Appendix 3. For patients who received a diagnostic biopsy, the sensitivity and specificity of renal mass biopsy were modelled. It was assumed that all patients with cancerous pathology on biopsy would proceed to surgery: all patients who received a “true positive” or “false positive” diagnosis of cancer proceeded to surgery in the form of a PN as described above. Following PN, patients with a true positive biopsy entered a Markov model in which local recurrence and metastatic disease remained possible (M1). However, patients with a false positive biopsy, were not at risk for metastatic disease or local recurrence after PN given the non-cancerous tumour and entered a separate
Markov model. It was assumed that all patients who had a diagnostic biopsy that returned with a non-cancerous pathology would proceed to active surveillance. Patients who received a “true negative” diagnosis of ‘no cancer’ on biopsy, entered into an active surveillance Markov model for patients with benign SRM (M3). Patients who received a “false negative” diagnoses of ‘no cancer’ on renal mass biopsy, entered into an active surveillance Markov model for patient with a cancerous SRM (M2).

Model probabilities and utilities
A review of the literature was performed to assess the available data for each of the variables. Summary of evidence tables were created (Appendix 4). The highest quality of evidence available to inform each probability was used. The prevalence of cancer for the base case and the range of possible prevalence of cancer for other patients were determined. Utilities and disutilities were obtained from the Tufts Medical Centre Cost-Effective Analysis Registry.19 The utilities and disutilities used in the model are shown in Table 1. When no available utility existed for a given variable, the best available utility from a similar population was used. Statistics Canada life tables were used to inform a patient’s probability of remaining alive for each one month cycle based on an aged-matched population.20

Statistical analysis
The primary analysis of interest was to assess which of the management arms resulted in the greatest number of QALM over a 10-year time horizon. A 10-year time horizon was the primary analysis used due to the lack of studies reporting long term data to inform the probabilities in the model beyond 10 years. The model was also run over a lifetime horizon for comparison. The base case characteristics and key transition probabilities were validated against the opinion of an expert in the field (Table 2). Deterministic one-way and two-way sensitivity analyses were planned to assess the effect of each variable on the preferred management strategy. Two-way sensitivity analyses of greatest clinical interest were those that assessed the effect of each variable on the preferred management strategy while varying the prevalence of cancer. In keeping with the secondary objective for this study, the impact of sensitivity and specificity of renal mass biopsy on the preferred strategy while adjusting the prevalence of cancer was determined. Internal validation was done by both authors who independently examined the model, conducted one-way sensitivity analyses to ensure results were clinically plausible and verified calculations performed in TreeAge.

Results
The preferred strategy for the base case at a 10-year horizon was renal mass biopsy which offered 38.07 QALM (Table 3). This was followed by the PN strategy at 37.69 QALM. Active surveillance was the least preferred strategy resulting in 36.25 QALM. These results were stable with and without the discount rate applied (Table 3). When the analysis was extended to a
lifetime horizon, the results were similar with renal mass biopsy remaining the preferred strategy at 60.71 QALM followed by surgery at 60.29 QALM and active surveillance at 50.64 QALM.

One-way sensitivity analyses
The model was found to be internally valid and free of errors through one-way sensitivity analyses of each variable performed by both authors. One-way sensitivity analyses of each of the variables included in the model indicated that the results of the model were most impacted by the probability an individual would remain alive over the course of a cycle in the Markov models and by the utility placed on the post-PN disease state (Figure 1). Other important variables that were highly impactful on the preferred strategy were the prevalence of cancer and the discount rate.

Several variables had thresholds at which the preferred strategy changed. For example, for the variable ‘probability of dying in the operating room’, if the probability was less than 0.06, the preferred strategy was renal mass biopsy, however, if the probability was greater than 0.06, the preferred strategy was active surveillance. The thresholds that were detected for various variables in the model are displayed in Table 3.

Two-way sensitivity analyses
Two-way sensitivity analyses assessing the impact of renal mass biopsy characteristics and the prevalence of cancer on the preferred strategy are shown in Figures 2 and 3. When the prevalence of cancer was high, then a highly sensitive renal mass biopsy resulted in biopsy being the preferred strategy over upfront PN. When the prevalence of cancer was low, a less sensitive renal mass biopsy was permitted to allow biopsy to remain the preferred strategy over PN. In Figure 2, the x-axis shows the sensitivity of biopsy ranging from 50% to 100% and the y-axis shows the prevalence of cancer ranging from 40% to 100%. Using Figure 2, a patient population’s baseline risk of malignancy in the SRM can be identified on the y-axis and then a tangential line can be drawn where the preferred strategy changes in order to determine the sensitivity of biopsy required to impact the preferred strategy for that group of patients. For example, for the base case with a baseline prevalence of cancer of 80% (based on demographic and tumour characteristics), the sensitivity of a biopsy at which the strategy changes from upfront PN to renal mass biopsy was 77% (Figure 2).

A second two-way sensitivity analysis assessed the preferred strategy when ranges of “specificity of biopsy” and “prevalence of cancer” were assessed. This two-way sensitivity analysis showed that renal mass biopsy was the preferred strategy for the vast majority of patients if the specificity of biopsy ranged from 50% to 100% unless the prevalence of cancer was 98% at which point treating all patients with PN would be preferred. For the base case, biopsy was always the preferred approach when the specificity of the biopsy ranged from 50% to 100% (Figure 3).
Discussion
In this Markov decision analysis, we found that the preferred strategy for an index patient with a SRM would be to receive a renal mass biopsy prior to deciding on management. In our model, patients who received a renal mass biopsy had the highest QALMs at 38.07 over a 10-year time horizon which was superior to both proceeding directly to surgery or directly to active surveillance at 37.69 and 36.25 QALM respectively.

The contemporary role of renal mass biopsy in the management of patients with a SRM is controversial. Most SRM are cancerous, however, approximately 20% are benign. The probability that a SRM is malignant varies by the sex and age of the patient as well as the size of the tumour. Many physicians feel confident triaging patients to management strategies based on their baseline health status, the size of the tumour and the likelihood that the tumour is malignant. However, without a biopsy, patients may be exposed to the risks of surgery for a benign tumour or may miss the window of cure for an aggressive cancer monitored on active surveillance. For this reason, there are some kidney cancer experts who are strong proponents of renal mass biopsy prior to management. The results of this Markov model would indicate that this approach would be preferred for an index patient with a SRM.

Renal mass biopsy is highly reliant on the skillset of the radiologist performing the biopsy and on the pathologist reviewing the tissue obtained from the biopsy. Most of the large series reporting results of renal mass biopsy are from experienced academic centres with highly trained individuals performing and reviewing the renal mass biopsies. Many smaller centres do not have access to such highly specialized individuals and argue that the high degree of accuracy quoted in these large series lacks external generalizability. In 2019, the Kidney Cancer Research Network of Canada met to develop a consensus statement on the role of renal mass biopsy for physicians and patients across Canada. During this meeting, the unknown target for accuracy of renal mass biopsies for each centre was highlighted as a significant limitation in the available literature. Questions have also been raised about the centralization of renal mass biopsy to centres of excellence who are able to obtain high levels of sensitivity and specificity while minimizing complications. Our decision analysis model directly answers the questions posed by these kidney cancer experts. In the two-way sensitivity analyses for an index patient with a baseline risk of a cancerous SRM of 80%, the benchmark sensitivity of renal mass biopsy is 77%. The specificity of renal mass biopsy had less of an impact on the preferred strategy when a range of 50-100% was assessed in a two-way sensitivity analysis with the prevalence of cancer. Thus, centres should aim to optimize the sensitivity of renal mass biopsy to ensure the results are impactful for patients even if improving sensitivity results in a decreased specificity. Centres that are unable to obtain a renal mass biopsy sensitivity rate of at least 77% should refer patients to centre of excellence and/or should invest in training individuals in this skill set to meet this benchmark.
The results of this study are important as they will inform practice and will establish benchmarks for renal mass biopsy proficiency in centres across Canada. The strengths of this study are its novel analysis, strict adherence to good modeling practices and its ability to directly affect clinical practice. The model can also be adapted to reflect patient characteristics, tumour characteristics and hospital’s biopsy characteristics to properly model the clinical situation. There are limitations to this study. First, there were several assumptions regarding the serial steps in the model for patients who experienced a given outcome. For example, it was assumed that all patients with a local recurrence after a partial nephrectomy would proceed to receive a radical nephrectomy. These assumptions may not be accurate for all patients given the nuances of each patient’s unique clinical scenario. Second, the model does not include thermal ablation as a possible management option. This was done intentionally as the model was developed to assess for outcomes in both smaller community hospitals and larger academic hospitals. In many centres outside of tertiary care facilities, thermal ablation is not available to treat SRMs. Additionally, there is limited long term outcome data for thermal ablative techniques used to treat patients with a SRM. Modeling the preferred strategy using short term outcomes of ablative techniques compared to longer term outcomes of surgery and surveillance could have introduced bias into the model. Third, we did not include a cost-utility analysis in this study. Although assessing the cost of each management option would have been beneficial, we felt that it did not directly address the objective of this study. Finally, the literature available on the long-term outcomes for patients managed with a SRM is limited with sparse data beyond a 10-year time horizon. The primary analysis assessing the preferred strategy to optimize QALM over a 10-year time horizon is likely reasonable in older patients, however, may not be as applicable in younger patients. As more long-term data becomes available, this model can be updated to allow for more accurate assessment of the impact of a lifetime horizon on the preferred strategy to optimize QALM.

Conclusions
This Markov decision analysis highlights the importance of renal mass biopsy in the management of patients with a SRM. Based on the results of this study, renal mass biopsy should be more widely incorporated into the management. By benchmarking the success rate of renal mass biopsy across Canada, we can ensure patients with a SRM receive high quality care in order to properly inform the decision of their management.
References


Figures and Tables

**Fig. 1.** Tornado plot for results of one-way sensitivity analyses for highly impactful variables.

![Tornado plot for one-way sensitivity analyses](image)

**Fig. 2.** Two-way sensitivity analysis on ‘sensitivity of biopsy’ and ‘prevalence of cancer.’

![Two-way sensitivity analysis](image)
**Fig. 3.** Two-way sensitivity analysis on ‘specificity of biopsy’ and ‘prevalence of cancer.’
<table>
<thead>
<tr>
<th>State</th>
<th>Utility in model</th>
<th>Range of utilities in registry</th>
<th>Reference</th>
</tr>
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<tbody>
<tr>
<td>Alive post-partial nephrectomy</td>
<td>0.75</td>
<td>0.744–0.755</td>
<td>Patel, <em>Abdo Radiol</em> 2020</td>
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<td>Alive post-radical nephrectomy</td>
<td>0.73</td>
<td>0.73</td>
<td>Patel, <em>Abdo Radiol</em> 2020</td>
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<td>Alive, on active surveillance</td>
<td>0.81</td>
<td>0.81–0.99</td>
<td>White, <em>Cancer</em> 2019</td>
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<td>Metastatic cancer</td>
<td>0.54</td>
<td>0.25–0.81</td>
<td>Wu, <em>PLoS One</em> 2011</td>
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<td>On systemic therapy for metastatic cancer</td>
<td>0.63</td>
<td>0.55–0.82</td>
<td>Delea, <em>J Manage Care Spec Pharm</em> 2015</td>
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<td>Alive with recurrence post-partial nephrectomy</td>
<td>0.73</td>
<td>0.4–0.76</td>
<td>Wei, <em>Clin Drug Investig</em> 2019</td>
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<th>State</th>
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<tr>
<td>Biopsy</td>
<td>-0.006</td>
<td>-0.5 to -0.003</td>
<td>Barnett, <em>BJUI</em> 2016</td>
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<td>Complication of biopsy</td>
<td>-0.03</td>
<td>-</td>
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<td>Complication of surgery</td>
<td>-0.09</td>
<td>-0.45 to -0.09</td>
<td>Magnuson, <em>Circ Cardiovasc Qual Outcomes</em> 2017</td>
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<td>Surgery</td>
<td>1 - utility</td>
<td>-0.05 to -0.3</td>
<td>Patel, <em>Abdo Radiol</em> 2020</td>
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<td>Variable</td>
<td>Value in model</td>
<td>Expert opinion</td>
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<tr>
<td>Rate of metastatic disease on active surveillance</td>
<td>2% over 5 years</td>
<td>2% at 5 years</td>
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<tr>
<td>Rate of progression on active surveillance</td>
<td>25% over 5 years</td>
<td>25%</td>
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<tr>
<td>Rate of complication on biopsy</td>
<td>0.7%</td>
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<tr>
<td>Rate of partial nephrectomy complication</td>
<td>10%</td>
<td>10-15%</td>
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<td>Base case</td>
<td>70 years old Male 2 cm tumor</td>
<td>65 years old Male 3 cm tumor</td>
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Table 3. Results of model for 10-year and lifetime horizon

<table>
<thead>
<tr>
<th>Strategy</th>
<th>QALM (discounted)</th>
<th>QALM (undiscounted)</th>
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<td>10- year horizon</td>
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<tr>
<td>Treat all</td>
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<td>Lifetime horizon</td>
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<td>Treat all</td>
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<tr>
<td>Biopsy</td>
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<td>Active surveillance</td>
<td>50.64</td>
<td>64.27</td>
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QALM: quality adjusted life months.