The long-term outcomes of Gleason grade groups 2 and 3 prostate cancer managed by active surveillance: Results from a large population-based cohort

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Abstract

Introduction: Active surveillance (AS) is an accepted management strategy for low-risk prostate cancer (PCa), but its role in the management of favorable intermediate-risk PCa remains controversial. Most reports studying the role of AS for these men generally lack long-term followup and include small numbers of patients. Our objective was to report the outcomes of men diagnosed with Gleason grade groups (GGG) 2 and 3 PCa who were managed expectantly.

Methods: Using administrative datasets and pathology reports, we identified all men who were diagnosed with GGG 2 and 3 PCa and managed expectantly between 2002 and 2011 in Ontario, Canada. Outcomes and associated factors were estimated using cumulative incidence function methods and multivariable Cox regression models, respectively. **Results:** We identified 926 men who were managed expectantly (AS [n=374] or watchful waiting [n=552]). The eight-year cancer-specific survival was 94% and 89% for the AS and watchful waiting cohorts, respectively. Among AS men, 266 (71%) received

treatment after a followup of approximately eight years. Cumulative AS discontinuation rates at one and five years were 30.5% and 65.1%, respectively.

Conclusions: Expectant management of GGG 2 and 3 PCa may be an option for certain men. Notably for AS patients, the cancer-specific mortality at eight years was 6%, and over 65% of men underwent treatment within five years. Further studies are required to evaluate which patients, based on disease-specific features and competing health risks, would benefit the most from a conservative strategy.

Introduction

Traditionally, men diagnosed with localized prostate cancer (PCa) were treated by radical prostatectomy (RP) or a form of radiotherapy.(1) However, natural history studies have shown that only a minority of those with low- or intermediate-risk disease will develop metastases and/or succumb to the cancer.(2) Thus, active surveillance (AS) has become an accepted strategy for low-risk, but debated as to its application in intermediate-risk PCa.(3, 4) Several large cohort studies and a randomized controlled trial have demonstrated that, for low-risk PCa, AS offers similar 10-year cancer-specific survival (CSS) compared to other well-accepted PCa treatments.(5-12) Consequently, an increasing number of men are now managed this way although rates vary worldwide.(1, 9, 13-15)

Reports have suggested that AS could be applied to favorable intermediate-risk PCa given that these cancers may behave in a similar fashion to low-risk PCa.(5, 10, 16-19) However, these experiences lack long-term follow-up and are generally of smaller cohorts when compared to the reports supporting AS in low-risk PCa. To our knowledge, there has not been a population-based study reporting on the long-term outcomes of Gleason Grade Groups (GGG) 2 and 3 PCa managed by AS.

Our primary objective was to report the CSS of men diagnosed with GGG2 and 3 PCa in between 2002 and 2011 and managed expectantly, with a focus on those followed by AS. Secondary objectives were to i) determine the overall survival of men with GGG2 and 3 PCa managed expectantly; ii) estimate the discontinuation rate from AS; iii) investigate characteristics associated with cancer-specific, overall and treatment-free survivals; and iv) report use of primary androgen deprivation therapy (ADT).

Methods

Study design

This was an institutional review board-approved population-based study. Men diagnosed with PCa were identified using linked administrative databases. In Ontario, nearly all

medical procedures are reimbursed by a single payer system the Ontario Health Insurance Plan (OHIP).(20) The OHIP database was used to identify all PCa-related interventions (Supplementary Fig. 1; available at *cuaj.ca*). Transrectal or transperineal ultrasound-guided biopsy pathology reports were obtained from Cancer Care Ontario and were manually abstracted by two trained abstractors. The procedure codes and the abstracted data were then linked deterministically to several other administrative databases.

Population

The cohort consisted of men diagnosed with GGG2 or 3 PCa in Ontario between 2002 and 2011. We excluded men whose diagnostic procedure was not a transrectal or transperineal ultrasound-guided biopsy and men with <1 year of follow-up. Men who were treated without a prior confirmatory biopsy (defined as the second biopsy following the diagnostic one) or with a confirmatory biopsy performed within 14 days of treatment were also excluded (i.e. biopsy likely done at the time of treatment; Supplementary Fig. 1; available at *cuaj.ca*). All men who had a confirmatory biopsy with or without treatment thereafter were considered to have been managed by AS, while men who had no confirmatory biopsy and did not undergo definitive treatment were considered to have been managed by watchful waiting (WW).

All localized GGG2 and 3 PCa were included in this study, regardless of the digital rectal exam and/or PSA levels as these variables were not completely captured in any of the administrative databases.

Outcomes

The primary outcome measured was CSS. Secondary outcomes were overall survival, discontinuation of AS and use of primary ADT. Survival outcomes were obtained using data from the Ontario Cancer Registry and from the Registered Persons Database.(21, 22) Cause of death was available up to December 31st, 2012 while data for treatment and vital status were available up to December 31st, 2014. Administrative codes used to identify treatments and use of ADT are detailed in Supplementary Table 1 (available at *cuaj.ca*) and have previously been shown to have high accuracy.(23, 24)

Covariates

We used administrative databases to obtain a comprehensive set of covariates for risk adjustment. These included individual-, disease-specific, physician- and institution-level characteristics (Supplementary Table 2; available at *cuaj.ca*). Individual-level characteristics included age at diagnosis, year of diagnosis, neighbourhood income quintile, area of residency, initial management and comorbidities. The ADG score, derived from the Johns Hopkins University ACG Case-Mix system, was used as a proxy for the patient's comorbidities.(25) Disease-specific characteristics included PSA level

and GGG at diagnosis, number of cores taken, number of positive cores, percentage of maximal core involvement at the initial and confirmatory biopsies as well as the timing of the confirmatory transrectal ultrasound-guided biopsy, where applicable. Physician-level characteristics included specialty of the treating physicians and their annual new PCa case volume, whereas institution-level characteristics included the type of treating centres and their annual new PCa case volume. The treating physician was defined as the physician who claimed the most PCa-related visits for each patient during the first 12 months after diagnosis, while the treating centre was defined as the centre where the patient received the majority of his PCa care during the same timeframe.

Statistical analysis

Baseline characteristics were reported using descriptive statistics and compared using Wilcoxon and Student T tests for medians and means, respectively, and chi square tests for categorical variables.

Time on AS and time to death (where applicable) were calculated from the date of diagnosis to the date when patients experienced an event (treatment or death) or were censored [i.e. end of follow-up period (December 31st, 2014) or lost to follow-up (date of last contact with OHIP)]. The treatment-free, ADT-free, cancer-specific and overall survivals were estimated using cumulative incidence function methods. Their associated factors were evaluated using Cox Proportional Hazard (PH) models fit for *a priori* defined variables (overall survival) or fit with variables using a stepwise regression process (treatment-free and cancer-specific survivals) and adjusted for physician- and institution-level clusters assuming cross-classified data (i.e. physicians could work in more than one institution)(26). Estimates in the multivariable models are reported as hazards ratios (HRs) with corresponding 95% confidence intervals (CIs). PH assumptions were assessed by examining residuals and with log-log plots.

Fine and Gray models were also performed to account for competing risks. However, given that Cox PH and competing risk models yielded similar results, we have opted to present the Cox PH models for ease of interpretation. All statistical analyses were performed using SAS 9.4 and R version 3.1.3. All statistical tests were two sided, and p-values <0.05 were considered statistically significant.

Results

4,040 patients with GGG2 or 3 PCa at diagnosis were identified. Of these, 3179 were excluded because they did not meet our inclusion criteria. Most (n=2179) were excluded because they received treatment, without a prior confirmatory biopsy, within 1-year of diagnosis (Supplementary Fig. 1; available at *cuaj.ca*). Consequently, the study cohort included 926.

Table 1 shows the demographics and disease characteristics of the cohort according to initial management. Men on WW (n=553) were significantly older than men on AS (n=374). Likewise, their median PSA at diagnosis, GGG, number of cores positive for cancer and maximal percentage of core involvement were all significantly higher. For men on AS, the median number of biopsies after diagnosis was 2 (IQR:2-3) with a median time from diagnosis to confirmatory biopsy of 9.3 months (IQR:3.4-21). On these confirmatory biopsies, 27% (n=102) were downgraded to GGG1 or were negative (Table 2).

Survival outcomes

After a median follow-up of 91 months (IQR:60-116), 371 (40%) deaths were identified. When follow-up time was limited to December 31, 2012 (when cause of death was available), 260 (28%) deaths were identified of which 63 (24%) were due to PCa. Significantly more deaths due to PCa were identified in the WW group than in the AS group [48 (9%) vs. 15 (4%); p=0.006; Supplementary Table 3; available at *cuaj.ca*]. In the AS cohort, 7 (3%) PCa-related deaths were reported in GGG2 after 8 years while 6 (7%) were reported in the GGG3 (Supplementary Table 4; available at *cuaj.ca*). Interestingly, of all men who died from PCa, only 5 (8%) received some form of ADT during their last year of life. The 5- and 8-year CSS were 98% and 94% for the AS cohort and 94% and 89% for the WW cohort, respectively. Overall, these men were 4 times more likely to die from causes other than PCa (Supplementary Tables 3, 4; available at *cuaj.ca*).

On multivariable analysis (Table 3), older age, higher GGG at diagnosis and higher maximal percentage of core involvement at diagnosis were strong predictors of higher PCa mortality. Factors associated with overall mortality are shown in Supplementary Table 5 (available at *cuaj.ca*).

Treatment-free survival

After a median follow-up of 97 months (IQR:72-121), 266 (71%) patients had discontinued AS. Among the patients who discontinued AS, an equal number of patients were treated with RP and radiotherapy [n=119 (45%) for both therapeutic approaches]. The remaining 28 (11%) patients were managed with ADT alone [median time to initiation was 26 months (IQR:15-38)]. The majority of men who discontinued AS did so following confirmatory biopsy (n=179; 67.3%). The apparent reasons for discontinuation of AS are summarized in Table 4. Of the men who underwent a RP, 25 (21.0%) were found to have a lower GGG than at diagnosis while 22 (18.5%) were upgraded (Supplementary Table 6; available at *cuaj.ca*).

The median time to discontinuation of AS was 59 months (IQR:23-101). Cumulative discontinuation rates at 1- and 5-years were 30.5% and 65.1%, respectively.

When stratified by GGG at diagnosis, the 5-year discontinuation rates were 63.5% and 69.9% for GGG2 and 3, respectively (Figure 1). Factors associated with decreased discontinuation within the first 5 years were older age, being diagnosed in the earliest year of the study period, being downgraded or having a negative confirmatory biopsy, and having a lower number of positive cores at confirmatory biopsy. Patients whose primary treating physician was a urologist and patients managed in non-specialized cancer centers were also less likely to discontinue AS (Table 5).

Discussion

The role of AS for GGG2 and 3 remains controversial. To our knowledge, this is the largest population-based study describing the outcomes of expectant management for these men. Our results demonstrated that 4% and 11% of men managed by AS and by WW, respectively, died of PCa during the first 8-years of follow-up. Unsurprisingly, PCa-related deaths were more common among men with GGG3 diseases than among men with GGG2 cancers. Interestingly, of these men, only 8% received some form of ADT during their last year of life. Therefore, one could speculate, that although PCa was specified as their cause of death, it is entirely plausible that many died of other causes. Nevertheless, even with this possibility in mind, men managed expectantly were 4 times more likely to die from non-PCa related causes.

Additionally, over 65% of men on AS were treated within 5-years, including 6% with primary ADT. Factors associated with AS discontinuation included age, year of diagnosis and total number of positive cores at the confirmatory biopsy. Interestingly, men treated by radiation oncologists or in dedicated cancer centres were more likely to undergo treatment during follow-up. Although this could indicate a practice pattern, it is also plausible that the association is more reflective of a referral pattern than a true treatment philosophy. In addition to these aforementioned factors, our results also demonstrated that men who were downgraded to GGG1 or who had a negative confirmatory biopsy were significantly less likely to discontinue AS within 5-years of diagnosis.

The survival outcomes reported here are in-line with several previously published reports. These studies have reported cancer-specific mortality rates for men with intermediate-risk PCa managed by AS varying from 0% to 4% after a follow-up ranging from 28 to 80 months.(7, 11, 16-19) Importantly, the outcomes reported by these studies are no different to the outcomes of men with similar disease who have undergone treatment. Based on data from the National Prostate Cancer Register of Sweden, Stattin et al. have reported a 10-year CSS of 96.6% and 96.2% after RP and radiation therapy, respectively.(27) Similar numbers (95% CSS) were reported by Stephenson et al. at 10-year follow-up for patients who underwent a RP for GGG2 and 3 PCa.(28) Thus, the

evidence suggests that, at the very least, a subset of GGG2 and 3 PCa patients could be managed with AS while avoiding some of the potential complications associated with PCa treatments.

In spite of the reassuring survival outcomes, the definitive treatment rate was higher than that published in previous reports with rates historically varying from 29 to 61%.(7, 11, 16-19) One of the possible explanations for our higher rates, in addition to longer follow-up when compared to previous publications, is the fact our study only included men that were considered as intermediate-risk PCa based solely on their GGG and not on their PSA level or digital rectal exam. In comparison, in the previously reported studies of men with intermediate-risk PCa managed by AS, the proportion of men included with GGG2 and 3 PCa varied from 22% to 63%.(7, 11, 16-19) Regardless, one needs to remember that nearly 30% of men in our cohort avoided the potential complications of PCa treatment by choosing AS.

Although this study reports the outcomes of the largest GGG2 and 3 PCa cohort managed expectantly, it is not devoid of limitations. The study was based on administrative databases and lacks the granularity of prospective studies. Because of this, data for some variables were incomplete (PSA values, number of positive cores at confirmatory biopsy, etc) or were not captured (digital rectal exam findings, metastatic state, etc). Likewise, as the cause of death was not available after 2012, our results may have underestimated the proportion of patients who died from PCa during the study period. As demonstrated by our rates of upgrading at confirmatory biopsy and as suggested by others, it is entirely possible that the initial biopsy underestimated the true extent of the disease. (29) Additionally, 6% of patients were started on primary ADT during follow-up, which is thought to be a proxy for metastatic disease. Therefore, it is possible that longer follow-up would have found more PCa-related deaths and/or metastatic diseases. The study also lacks information on family history, race and use of diagnostic imaging, such as multiparametric MRI. Moreover, we defined AS patients as those who received a confirmatory biopsy. This definition likely introduced a certain selection biased as it is well known that not all AS patients will undergo a confirmatory biopsy. (16, 30) Lastly, this study lacks a comparative treatment arm. Consequently, this limited our conclusions with regard to which patients were ideal candidates for AS and what the triggers for intervention should be. Nevertheless, our results indicated that older men and men with more favorable findings on the diagnostic biopsy (i.e GGG2 and ≤50% maximal core involvement) were less likely to die from PCa and thus, may potentially be better candidates.

Conclusions

Expectant management of GGG2 and 3 PCa remains an option for certain men, as many will succumb to a non-PCa related death. Nevertheless, men on AS had a 6% cancerspecific mortality at 8 years after diagnosis and more than 65% of them were treated within 5-years. Thus, it is clear that this option should not be applied to all GGG2 and 3 patients. Further studies are required to evaluate which sub-group of patients would benefit most from a conservative approach. Men with GGG2 and 3 PCa opting for this strategy should fully understand the potential benefits and harms of this approach and the high likelihood of eventually undergoing treatment.

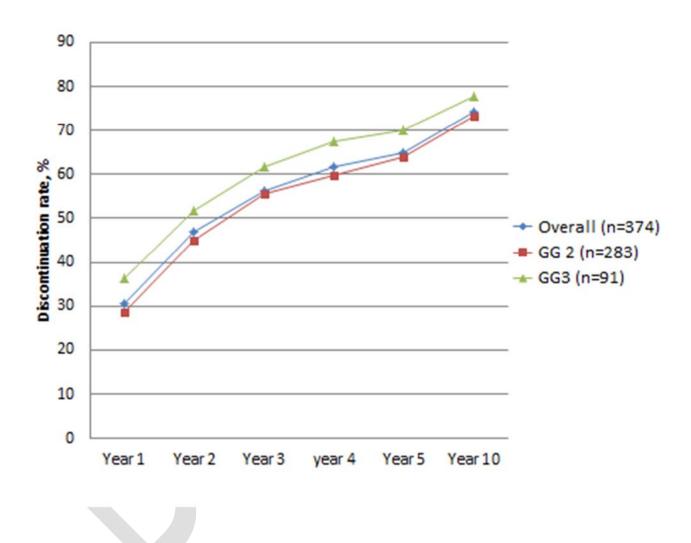
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Figures and Tables

Fig. 1. Active surveillance discontinuation rates over 10 years. GG: Gleason grade group.



Patient-specific characteristics	Table 1. Baseline characteristics for the whole cohort and stratified by watchful waiting					
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2005-07	Year of diagnosis, n (%)					
2008-11		282 (31)	203 (37)	79 (21)	< 0.001	
ADG scores, mean (SD)	2005–07	490 (53)	295 (53)	195 (52)		
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Number of cores taken at diagnostic biopsy, median (IQR) † Number of positive cores at diagnostic biopsy, mean (IQR) ‡ Number of positive cores at diagnostic biopsy, median (IQR) ‡ Max. % of core at diagnostic biopsy, median (IQR) $^{\Delta}$ Gleason grade group (GGG) at diagnosis, n (%)	Disease-specific characteristics					
Number of cores taken at diagnostic biopsy, median (IQR) † Number of positive cores at diagnostic biopsy, mean (IQR) ‡ Number of positive cores at diagnostic biopsy, median (IQR) ‡ Max. % of core at diagnostic biopsy, median (IQR) $^{\Delta}$ Gleason grade group (GGG) at diagnosis, n (%)	PSA (ng/mL), median (IQR)*	8.4	10.3	6.9	0.004	
Number of cores taken at diagnostic biopsy, median (IQR) † 10 (7–12) 9 (6–11) 10 (8–12) <0.001 Number of positive cores at diagnostic biopsy, mean (IQR) ‡ 4 (1–12) 4 (1–13) 3 (1–12) 0.001 Max. % of core at diagnostic biopsy, median (IQR) $^{\Delta}$ 30 (5–70) 30 (2–70) 25 (10–60) 0.4 Gleason grade group (GGG) at diagnosis, n (%) 644 (70) 361 (65) 283 (76) 282 (30) 191 (35) 91 (24) GGG 3 (Gleason score 4+3=7) 282 (30) 191 (35) 91 (24) 90.001 Prostate cancer treatment-specific characteristics Type of primary physician ‡ 808 (89) 505 (93) 303 (82) Radiation oncologist 103 (11) 35 (7) 68 (18) Type of center ‡ <0.001		To. 100000000				
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Number of cores taken at diagnostic biopsy, median (IQR) [†]	400000000000000000000000000000000000000			< 0.001	
Max. % of core at diagnostic biopsy, median (IQR) ^Δ 30 (5–70) 30 (2–70) 25 (10–60) 0.4 Gleason grade group (GGG) at diagnosis, n (%) 644 (70) 361 (65) 283 (76) 282 (30) 91 (24) GGG 2 (Gleason score 3+4=7) 282 (30) 191 (35) 91 (24) 91 (24) Prostate cancer treatment-specific characteristics Type of primary physician [¥] 808 (89) 505 (93) 303 (82) Radiation oncologist 103 (11) 35 (7) 68 (18) Type of center [¥] <0.001	Number of positive cores at diagnostic biopsy, mean (IQR) [‡]	4 (1–12)	4 (1–13)	3 (1–12)	0.001	
Cleason grade group (GGG) at diagnosis, n (%) 644 (70) 361 (65) 283 (76) 282 (30) 191 (35) 91 (24)	Max. % of core at diagnostic biopsy, median	30 (5–70)	30 (2–70)	25 (10–60)	0.4	
(%) 644 (70) 361 (65) 283 (76) GGG 2 (Gleason score 3+4=7) 282 (30) 191 (35) 91 (24) Prostate cancer treatment-specific characteristics Type of primary physician* 808 (89) 505 (93) 303 (82) Radiation oncologist 103 (11) 35 (7) 68 (18) Type of center* <0.001					< 0.001	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		644 (70)	361 (65)	283 (76)		
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					< 0.001	
Radiation oncologist $103 (11)$ $35 (7)$ $68 (18)$ Type of center* <0.001		808 (89)	505 (93)	303 (82)		
Type of center [¥] <0.001	•	` /	` ′	` ′		
**		. /		` /	< 0.001	
Non-specialized cancer center 637 (69) 458 (83) 179 (48)	Non-specialized cancer center	637 (69)	458 (83)	179 (48)		

Specialized cancer center	289 (31)	94 (17)	195 (52)	
Institution volume [¥]				0.4
1 st tertile (lowest)	53 (6)	29 (5)	27 (7)	
2 nd tertile	198 (22)	113 (21)	82 (22)	
3 rd tertile (highest)	658 (72)	397 (74)	261 (71)	
Physician volume [¥]				< 0.001
1 st tertile (lowest)	71 (8)	29 (5)	45 (12)	
2 nd tertile	217 (24)	120 (22)	103 (28)	
3 rd tertile (highest)	623 (38)	391 (72)	223 (60)	

^{*}Data missing in 396 patients (43%); †data missing in 87 (9%) patients; ‡data missing in 125 patients (14%); ^Adata missing in 281 patients (30%); ^Edata missing in 17 physicians/institutions (2%). ADG: aggregated diagnosis groups; GGG: Gleason grade group; IQR: interquartile range; PSA: prostate-specific antigen; SD: standard deviation.

Table 2. Timing and outcomes of the confirmatory biopsy for men managed by active surveillance (n=374)				
Variables	Values			
Time (in months) from initial to confirmatory biopsy, median (IQR)	9.3 (3.4–21)			
Number of cores taken at confirmatory biopsy, mean (IQR) [†]	11 (3.9)			
Number of positive cores at confirmatory biopsy, mean (SD) [†]	3.9 (2.4)			
Max. % of core at confirmatory biopsy, median (IQR) [†]	30 (5–70)			
Gleason grade group (GGG) at confirmatory biopsy, n (%)				
Negative or GGG 1 (Gleason score ≤6)	102 (27)			
GGG 2 (Gleason score 3+4=7)	170 (45)			
GGG 3 (Gleason score 4+3=7)	87 (23)			
GGG 4 or 5 (Gleason score 8–10)	15 (4)			
Confirmatory biopsy demonstrated:				
Upgrading of GGG, Yes (%)	58 (16)			
GGG 2 to GGG 3	43 (74)			
GGG 2 to GGG 4–5	7 (12)			
GGG 3 to GGG 4–5	8 (14)			
Increase in number of positive cores, Yes (%)				
≤ 3 to ≥ 3	41 (11)			
Unknown	136 (36)			
Increase in max. percentage of core involvement, Yes (%)				
$\leq 50\%$ to $>50\%$	29 (14)			
Unknown	201 (54)			

[†]Data missing in 155 (41%) patients. IQR: interquartile range; SD: standard deviation.

Table 3. Univariable and multivariable Cox proportional hazards survival model testing for factors associated with cancer-specific survival Variables Univariable Multivariable[†] p p HR (95%CI) HR (95%CI) **Patient-specific characteristics** Age, per 10 years increase 1.87 < 0.001 1.61 0.007 (1.33-2.63)(1.14-2.28)Year of diagnosis 2002-04 **REF** 2005-07 0.78 (0.46–1.38) 0.4 2008-11 0.21 (0.03–1.59) 0.13 ADG scores, per 1-unit 1.02 (1.01–1.04) 0.01 increase Area of residency (rural vs. 0.79 (0.34–1.84) 0.6 urban) Neighborhood income quintile 1st quintile (lowest) REF 2nd quintile 0.45(0.20-0.99)0.04 3rd quintile 0.44 (0.20-0.98) 0.04 4th quintile 0.67(0.35-1.31)0.3 5th quintile (highest) 0.41(0.19-0.88)0.02 Initial management (watchful 2.18 (1.22–3.91) 0.009 waiting vs. active surveillance) **Disease characteristics** PSA category at diagnosis (ng/mL)0-4REF 4.01-100.13(0.05-1.44)0.13 >10 1.48 (0.35–6.36) 0.6 Missing 1.42 (0.34–5.93) 0.6 Positive cores at diagnosis REF 1 2 3.18 (0.70–15) 0.14 3 4.14 (0.92–19) 0.07 >3 4.18 (0.99–18) 0.05 Missing 4.32 (0.96–20) 0.06 Max. % of core involvement at 2.73 (1.47–5.07) 0.002 2.12 (1.13-4.01) 0.02 diagnosis (>50% vs. ≤50%) Gleason grade group at 2.11 (1.29–3.45) 0.003 1.81 (1.09–3.01) 0.02 diagnosis (3 vs. 2) Definitive treatment 0.41 (0.20-0.84) 0.01 (yes vs. no)

Prostate cancer treatment-spec	ific characteristics			
Primary physician (urologist	1.59 (0.64–3.98)	0.3		
vs. radiation oncologist)				
Physician annual prostate				
cancer treatment volume				
1 st tertile (lowest)	REF			
2 nd tertile	1.66 (0.49–5.65)	0.4		
3 rd tertile (highest)	1.48 (0.46–4.79)	0.5		
Specialized cancer-center (yes	0.41 (0.21-0.81)	0.01	0.52 (0.26–1.06)	0.07
vs. no)				
Institution annual prostate				
cancer treatment volume				
1 st tertile (lowest)	REF			
2 nd tertile	0.61 (0.231.58)	0.3		
3 rd tertile (highest)	0.57 (0.24–1.34)	0.19		

[†]Variables significant in univariate model were selected for multivariable model, and a stepwise selection approach was used for the final multivariate model. ADG: aggregated diagnosis groups; CI: confidence interval; HR: hazard ratio; PSA: prostate-specific antigen.

Table 4. Number of transrectal ultrasound biopsy before discontinuation surveillance and the apparent reasons for the discontinuation (n=266 me	
treatment)	
Number of biopsy before discontinuation	n (%)
After second (confirmatory) biopsy	179 (67%)
After third biopsy	73 (27%)
After fourth biopsy	10 (4%)
After fifth biopsy	3 (0.4%)
After sixth biopsy	1 (0.3%)
Perceived reason for discontinuation	
Gleason grade group (GGG) upgrade on subsequent biopsy (i.e., GGG2 to	13 (5%)
3, GGG 2 or 3 to 4–5)	
Tumor volume increase from baseline (i.e., positive cores ≤3 to >3 or	42 (16%)
maximal percentage core involvement from <50% to ≥50% on subsequent	, ,
biopsy)	
PSA increase from baseline (i.e., ≤10 ng/mL at diagnosis to >10 ng/mL)	3 (1%)
Not perceived reasons (i.e., no volume increase from baseline, no GGG	46 (17%)
change or GGG downgrading on subsequent biopsy, or no PSA increase)	, ,
Unknown (i.e., 1 of 4 variables unavailable: PSA, GGG, maximal	162 (61%)
percentage core involvement, or number of positive cores)	, ,

PSA: prostate-specific antigen

Table 5. Factors associated with the discontinuation of active surveillance within 5 years					
of diagnosis Variables	Univariable HR (95% CI)	p	Multivariable [†] HR (95% CI)	p	
Patient-specific characteristics	/ /		,		
Age, per 10 years increase	0.63 (0.55-0.74)	<0.001	0.60 (0.51-0.71)	< 0.001	
Year of diagnosis	REF		REF		
2002–04	0.76 (0.55–1.04)	0.09	1.43 (1.00–2.05)	0.049	
2005–07	0.84 (0.59–1.20)	0.4	1.79 (1.18–2.72)	0.006	
2008–11					
ADG scores, per 1-unit	0.99 (0.98–1.00)	0.11			
increase					
Area of residency (rural vs.	1.31 (0.86–1.96)	0.19			
urban)					
Neighborhood Income quintile					
1 st quintile	REF				
2 nd quintile	1.33 (0.85–2.09)	0.2			
3 rd quintile	1.34 (0.85–2.10)	0.2			
4 th quintile	1.19 (0.77–1.83)	0.4			
5 th quintile	1.35 (0.90–2.04)	0.15			
Disease characteristics at diagr	nosis				
PSA category at diagnosis					
(ng/mL)					
0–4	REF				
4.01–10	1.35 (0.74–2.46)	0.3			
>10	1.77 (0.91–3.41)	0.09			
Missing	1.29 (0.71–2.35)	0.4			
Number of positive cores at					
diagnosis					
1	REF				
2	1.02 (0.65–1.57)	0.9			
3	1.44 (0.93–2.23)	0.10			
>3	1.44 (0.99–2.09)	0.06			
Missing	1.19 (0.74–1.93)	0.5			
Max. % of core involvement at	1.19 (0.82–1.73)	0.4	0.79 (0.54–1.18)	0.3	
diagnostic (>50% vs. ≤50%)					
Gleason grade group at	1.22 (0.92–1.63)	0.16	1.33 (0.98–1.82)	0.06	
diagnosis (3 vs. 2)					
Disease characteristics at confi	rmatory biopsy				
Gleason grade group at					
confirmatory					
2 or 3	REF		REF		

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0.37 (0.21–0.66)	<0.001	0.33 (0.18-0.61)	< 0.001
REF		REF	
1.23 (0.67–2.38)	0.5	1.03 (0.52-2.02)	0.9
1.84 (1.02–3.31)	0.04	2.06 (1.08-3.09)	0.03
1.76 (1.04–2.96)	0.03	1.56 (0.86–2.82)	0.14
1.99 (1.19–3.35)	0.009	2.59 (1.40-4.78)	0.002
1.14 (0.84–1.55)	0.4		
fic characteristics			
0.41 (0.31-0.56)	<0.001	0.43 (0.29-0.61)	< 0.001
REF			
1.28(0.75-1.86)	0.5		
1.31 (0.87–1.99)	0.19		
1.59 (1.23–2.05)	< 0.001	1.35 (1.00–1.82)	0.048
REF			
1.10 (0.63–1.93)	0.7		
1.20 (0.72–2.01)	0.5		
	REF 1.23 (0.67–2.38) 1.84 (1.02–3.31) 1.76 (1.04–2.96) 1.99 (1.19–3.35) 1.14 (0.84–1.55) REF 1.28 (0.75–1.86) 1.31 (0.87–1.99) 1.59 (1.23–2.05) REF 1.10 (0.63–1.93)	0.55 (0.39–0.80) 0.002 0.37 (0.21–0.66) <0.001 REF 1.23 (0.67–2.38) 0.5 1.84 (1.02–3.31) 0.04 1.76 (1.04–2.96) 0.03 1.99 (1.19–3.35) 0.009 1.14 (0.84–1.55) 0.4 REF 1.28 (0.75–1.86) 0.5 1.31 (0.87–1.99) 0.19 1.59 (1.23–2.05) <0.001	0.55 (0.39–0.80)

[†]Variables significant in univariate model were selected for multivariable model, and a stepwise selection approach was used for the final multivariate model. ADG: aggregated diagnosis group; CI: confidence interval; HR: hazard ratio; PSA: prostate specific antigen.