Comparative morbidity of ablative energy-based salvage treatments for radio-recurrent prostate cancer

Khurram M. Siddiqui, MBBS, MSc, FRCS, FEBU;* Michele Billia, MD;* Andrew Williams, BMedSc, MBChB, FRACS;* Ali Alzahrani, MD;* Joseph L. Chin, MD, FRCSC*

*Western University, London, ON; *Department of Urology, University of Dammam, Saudi Arabia

See related commentary on page 330.

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Abstract

Introduction: We compared the morbidity of whole gland salvage ablation using cryotherapy (CRYO) and high-intensity focused ultrasound (HIFU) for radio recurrent prostate cancer at a single centre over a 17-year period.

Methods: Patients were divided in 3 cohorts. Group 1 included the first 65 patients treated with CRYO (1995–1998); Group 2 included the last 65 patients treated with CRYO (2002–2004), and Group 3 included 65 patients treated with HIFU (2006–2011). We analyzed the complications reported within at least 90 days of treatment or up to the last follow-up.

Results: We tallied Clavien grade complications. For Groups 1, 2 and 3, we recorded the following Clavien I-II complications: 78, 49 and 13, respectively. For Clavien grade IIIa, 2, 5 and 4 for Groups 1, 2 and 3, respectively. For Clavien grade IIIb, 8, 2 and 3 for Groups 1, 2 and 3, respectively. Clavien grade II complications were statistically higher in Group 1 versus Group 2 (p = 0.005) and in Group 2 versus Group 3 (p = 0.0001). The rate of mild-moderate incontinence was significantly higher in the CRYO group compared to the HIFU cohort ($p \le 0.05$). The rate of urinary retention was significantly higher in Group 2 compared to Group 3 (p = 0.0005). The rates of severe incontinence (range: 1.5%-5%), need for surgical intervention (uniform at 1.5%), and recto-urethral fistulae (range: 1.5%-3%) were not statistically different.

Conclusions: CRYO was associated with higher overall morbidity. The morbidity during the early experience with HIFU was lower than both subgroups of CRYO. This may reflect the advancement of technology or cumulative learning experience.

Introduction

Treatment for local persistence or recurrence of prostate cancer after radiation therapy poses a unique challenge.¹ In

spite of improvement in the morbidity profile in contemporary salvage prostatectomy series, a significant proportion of patients, especially the elderly and those with significant medical comorbidities, are suboptimal candidates for salvage prostatectomy.² Energy-based salvage treatment modalities, such as high-intensity focused ultrasound (HIFU) and cryotherapy (CRYO), are minimally invasive ablative options which have a more favourable side effect profile.³⁻⁵ At our centre we have been treating radio-recurrent prostate cancer (RRPC) with both these energy-based modalities, transitioning from CRYO to HIFU.⁶⁻⁹ We report our single centre and single surgeon (17 years) experience to highlight the learning curve and compare the morbidity of the two salvage treatment modalities.

Methods

Institutional ethics board approval was obtained and 283 patients were prospectively enrolled for minimally invasive energy-based salvage treatment from 1995 to 2014. This included 187 patients who underwent salvage CRYO (1995–2004) and 96 patients who underwent salvage HIFU (2006–2011). In 2004, we transitioned from offering CRYO to HIFU as salvage treatment for RRPC. For the current analysis, we excluded patients with less than 1 year follow-up and those enrolled for a Food and Drug Administration-sponsored HIFU trial from which the data are quarantined.

We divided our patients into 3 groups: Group 1 included the initial 65 patients treated with CRYO between 1995 and 1998 (the "early CRYO group"); Group 2 included the last 65 patients treated with CRYO from 2002 to 2004 (after accumulating experience with 150 cryoablation cases) (the "later CRYO group"); and Group 3 included 65 patients who underwent HIFU from 2004 to 2012. This group-wise comparison was designed to elucidate the impact of the learning curve and technologic transit, and to compare the two modalities in terms of morbidity profile as salvage treatments for RRPC, without inter-operator and inter-institutional variability.

The Candella system (Candella, Wayland, MA) was used for the first 11 patients and the CRYOCARE CS (Endocare, Austin, TX) was used for all subsequent patients. In total, 5 to 6 cryoprobes were placed transperineally under transrectal ultrasound guidance. A 3-dimensional ultrasound system developed at our institution was used to guide and verify cryoprobe placement.^{10,11} Two freeze-thaw cycles were employed. Monitoring was achieved by visualization of the "ice ball" on ultrasound and temperature recordings from 3 thermocouples placed at the left and right neurovascular bundles and in the midline near the apex of the prostate, respectively. A urethral warming device (Cook Urological, Spencer, IN) was used. In all patients a suprapubic catheter was placed intra-operatively and retained for 3 weeks. No patient in either group underwent a pre-salvage transurethral resection of the prostate.

HIFU treatment was performed using the Sonablate 500 machine (SonaCare Medical, Charlotte, NC). Treatment planning was based on whole gland ablation with a nonnerve sparing approach. Continuous rectal temperature recording was carried out by monitoring the reflective index of the rectal wall. In all patients a suprapubic catheter was placed intra-operatively and retained for 3 weeks.

We recorded preoperative clinical data, including age, prostate-specific antigen (PSA), and Gleason score. Morbidity data were obtained at follow-up visits at regular intervals by the operating surgeon for at least 1 year. Patients living more than 300 km from the hospital were followed by their respective local urologists, who were contacted for any complications and clinical sequelae. Incontinence was defined as mild-moderate if the patient required only 0 to1 pads, and as "severe" if 2 or more pads were needed. "Incontinence requiring surgery" was defined as any incontinence problems requiring any form of surgical intervention. Gross hematuria and perineal pain were classified under self-reported complications. Bladder neck contracture, urinary retention, urinary tract infection, and fistula were all physician-recorded variables at follow-up appointments and/ or extracted from hospital records. We compared all complications reported during at least 90 days of treatment or up to the last follow-up.

Data were extrapolated from prospectively maintained databases, completed daily by the research coordinator. We used a one-way ANOVA test to compare the distribution of central tendency of the 3 groups. Student's t-test was used to compare groups. The Fisher exact test was used to compare the morbidity (categorical data) profile among the subgroups. Statistical significance was set at p < 0.05.

Results

The mean age was significantly higher in Group 1 compared to Group 2 (p = 0.001), although there was no difference between Group 1 and Group 3 (p = 0.10) or between Group 2 and Group 3 (p = 0.41). The mean pre-salvage PSA was

	Group 1 Cryotherapy (1995–1998) (n = 65)	Group 2 Cryotherapy (2002–2004) (n = 65)	Group 3 HIFU (2006–2011) (n = 65)	<i>p</i> value (one-way ANOVA
Age (mean)	68.59 ± 6.65	71.68 ± 6.79	70.65 ± 7.54	0.04
Pre-salvage PSA (mean)	9.38 ± 7.03	3.25 ± 2.33	3.86 ± 3.01	0.0001*
Pre-salvage Gleason score				
≤6	9	18	10	
7	22	17	28	
8	13	13	12	
9	4	4	2	
10	4	1	1	
NC	13	12	12	
Pre-RT T stage or D'Amico risk				
T1	7	10		
T2	38	30		
Т3	6	6		
NA	14	19		
Pre-RT D'Amico risk				
Low risk			19 (29%)	
Intermediate risk			34 (52%)	
High risk			12 (19%)	
Type of radiation				
EBRT	63	65	61	
ВТ	2	0	4	
Adjuvant hormone therapy	9 (13%)	12 (18%)	14 (21%)	

HIFU: high-intensity focused ultrasound; PSA: prostate-specific antigen; RT: radiation therapy; EBRT: external beam radiation; BT: brachytherapy; NC: non-classifiable; NA: not available.

Morbidity	Group 1 Cryotherapy (1995–1998) (n = 65)	Group 2 Cryotherapy (2002–2004) (n = 65)	<i>p</i> value (Fisher's exact test)
Incontinence mild- moderate	32 (49%)	20 (31%)	0.048
Perineal pain	12 (18%)	7 (10%)	0.320
Urinary retention	18 (27%)	14 (21%)	0.54
Gross hematuria	8 (12%)	5 (7%)	0.56
Urinary tract infection	8 (12%)	3 (5%)	0.206
Severe incontinence	1 (1.5%)	3 (5%)	0.619
Recto-urethral fistula	1 (1.5%)	2 (3%)	1.0
Incontinence requiring surgery	1 (1.5%)	1 (1.5%)	1.0
Bladder neck contracture/stricture	7 (10%)	1 (1.5%)	0.061
CRYO: cryotherapy.			

Table 2. Comparison of morbidity in the 'Early CRYO' vs.	
'Late CRYO' group	

significantly higher in Group 1 compared to both Groups 2 and 3 (p = 0.001). The number of patients with Gleason score >8 were also higher in Group 1 (21, 18 and 15 in Group 1, 2 and 3 respectively) (Table 1).

We tallied the pre-radiation T stage for cryotherapy patients and the D'Amico risk category¹² for HIFU patients (Table 1). Most patients underwent external beam radiation therapy (EBRT). Brachytherapy (BT) alone was the primary curative therapy for 3%, 0% and 6% of patients in Groups 1, 2 and 3, respectively. No patients experienced any RTOG/ EORTC (Radiation Therapy Oncology Group/European Organization for Research and Treatment of Cancer) late radiation morbidity.¹³ Of the patients, 13%, 18% and 21% from Groups 1, 2 and 3, respectively, received adjuvant androgen deprivation. The oncological outcomes of our series have previously been reported.⁷⁻⁹

We tallied Clavien grade complications. For Groups 1, 2 and 3, we recorded the following Clavien I-II complications: 78, 49 and 13, respectively. For Clavien grade IIIa, 2, 5 and 4 for Groups 1, 2 and 3, respectively. For Clavien grade IIIb, 8, 2 and 3 for Groups 1, 2 and 3, respectively.¹⁴ There was one Clavien grade 4a complication in Group 3, resulting from a blocked supra-pubic catheter causing intraperitoneal bladder rupture requiring laparotomy. Clavien grade II complications were statistically higher in Group 1 versus Group 2 (p = 0.005) and in Group 2 versus Group 3 (p = 0.0001).

We tallied the complication rates in Group 1 (early CRYO) and Group 2 (later CRYO) (Table 2). There was a significantly higher rate of mild-moderate incontinence in Group 1 (p = 0.04). We also compared Group 1 (early CRYO) and Group 3 (HIFU) (Table 3). There was a significantly higher rate of mild-moderate incontinence (p = 0.0001), perineal pain (p = 0.025), and urinary retention (p = 0.0001) in the

Table 3. Comparison of morbidity in the 'Early CRYO' vs.	
'HIFU' group	

Morbidity	Group 1 Cryotherapy (1995–1998) (n = 65)	Group 3 HIFU (2006–2011) (n = 65)	<i>p</i> value (Fisher's exact test)
Incontinence mild- moderate	32 (49%)	2 (3%)	0.0001
Perineal pain	12 (18%)	3 (5%)	0.0253
Urinary retention	18 (27%)	1 (1.5%)	0.0001
Gross hematuria	8 (12%)	4 (6%)	0.3642
Urinary tract infection	8 (12%)	3 (5%)	0.2061
Severe incontinence	1 (1.5%)	2 (3%)	1.00
Recto-urethral fistula	1 (1.5%)	2 (3%)	1.00
Incontinence requiring surgery	1 (1.5%)	1 (1.5%)	1.00
Bladder neck contracture/stricture	7 (10%)	2 (3%)	0.1643
Laparotomy	0	1	1.00
CRYO: cryotherapy; HIFU: high-intensity focused ultrasound.			

early CRYO group compared to the HIFU cohort. The rate of morbidity was compared between Group 2 (later CRYO) and Group 3 (HIFU) (Table 4). The rate of mild-moderate incontinence was significantly higher in the later CRYO group compared to the HIFU group (p = 0.0001). Similarly, the rate of urinary retention was significantly higher in the later CRYO compared to the HIFU group (p = 0.0005). Other complications, including severe incontinence, incontinence requiring surgical intervention and recto-urethral fistulae, were not statistically different.

Overall, there were less complications in the HIFU group (n = 20) compared to the early CRYO group (n = 88) and the later CRYO group (n = 56). This difference was statistically significant in group-wise comparison (p = 0.05).

Discussion

About 25% of North American men with newly diagnosed clinically localized prostate cancer choose RT-based therapies as their primary treatment, including EBRT and BT.¹⁵ Although dose intensification and improved technologies of RT improve outcomes, almost 1 in 3 patients still experience treatment failure.¹⁶ In a proportion of these men, recurrent or persistent disease is isolated to the prostate gland only. In a series of 4839 patients, Kuban and colleagues reported 416 (8.6%) cases of isolated intra-prostatic failure after RT.¹⁷ These patients may be candidates for local salvage treatment with curative intent. Although salvage radical prostatectomy is usually the preferred definitive treatment, the patient's ability to withstand the anesthesia and potential perioperative morbidity are major determinants in the choice of salvage treatment. Salvage radical prostatectomy may not be a viable option for elderly and infirmed patients.¹

HIFU group			
Morbidity	Group 2 Cryotherapy (2002–2004) (n = 65)	Group 3 HIFU (2006–2011) (n = 65)	<i>p</i> value (Fisher's exact test)
Incontinence mild- moderate	20 (31%)	2 (3%)	0.0001
Perineal pain	7 (10%)	3 (5%)	0.3241
Urinary retention	14 (21%)	1 (1.5%)	0.0005
Gross hematuria	5 (7%)	4 (6%)	1.00
Urinary tract infection	3 (5%)	3 (5%)	1.00
Severe incontinence	3 (5%)	2 (3%)	1.00
Recto-urethral fistula	2 (3%)	2 (3%)	1.00
Incontinence requiring surgery	1(1.5%)	1 (1.5%)	1.00
Bladder neck contracture/stricture	1(1.5%)	2 (3%)	1.00
Laparotomy	0	1	1.00
CRYO: cryotherapy; HIFU: high-intensity focused ultrasound.			

Table 4. Comparison of morbidity in the 'Late CRYO' vs. 'HIFU' group

Minimally invasive modalities, such as CRYO and HIFU, have been used as salvage treatment options. We have reported, based on our experience on 176 patients, a 10-year disease-free survival (DFS) of 39% for salvage CRYO.⁷ For salvage HIFU, we reported 1- and 2-year progression-free survival rates of 62% and 48%, respectively.⁹ For low-risk prostate cancer, Murat and colleagues reported the DFS rate of 53% for salvage HIFU at 40 months of follow-up.¹⁸ At 7 years, Crouzet and colleagues reported a cancer-specific and metastasis-free survival rate of 80% and 79.6 %, respectively.¹⁹ Given the reasonable oncologic outcome in the management of RRPC with these modalities, minimizing treatment-related morbidity becomes more of a clinical priority.²⁰ Concerns relating to morbidity from energybased salvage ablation modalities may be one reason why such treatments have remained underutilized.²¹ We present a comparative account of the morbidity profile for these minimally invasive options for whole gland energy based ablation of RRPC.

Several series on complications of salvage CRYO report incontinence rates from 4.4% to 13%.^{22,23} Ahmad and colleagues (CROP trial) in their cohort of 283 patients reported an incontinence rate of 12% (0–1 pad/ day).²² In our experience we found a higher rate of mild-moderate incontinence (≤1 pad/day) 49%, 31% and 3% in Groups 1, 2 and 3, respectively; for severe incontinence (>2 pad/ day), we reported a similar rate (1.5%, 5% and 3%) for Groups 1, 2 and 3, respectively. Pisters and colleagues reported in a comparable sized series 4.4 % (defined as incontinence requiring use of pads), which is commensurate with our results.²³ The all-grade incontinence in patients treated with HIFU in our cohort was 6%. Crouzet and colleagues reported a 19.5% incontinence rate in 290 patients treated with HIFU for RRPC.¹⁹ This difference may be attributed to different definitions of incontinence, variations in surgical technique or technology (Ablatherm HIFU [Maple Leaf Inc.] or Sonablate HIFU), and in patient selection. We found an improvement in mild-moderate incontinence in the later CRYO group compared to the early CRYO group (p = 0.048). This may be attributed to the learning curve or refinement in the patient selection process. Further improvement was seen in the HIFU group compared to the later CRYO group (p = 0.0001), which again, may be reflect the continuation of the learning curve, improvement of technology or both. Since incontinence is one of the most problematic complications associated with salvage treatment, we believe HIFU is a significant addition to the therapeutic armamentarium for localized RRPC.

Recto-urethral fistula is the most serious complication in the local salvage treatment of RRPC. We report an incidence of 1.5% to 3% in the 3 groups. Similar rates of ranging from 1.8% to 6% have also been reported.²⁴ The rectal injury rates from large centres with experienced surgeons for salvage RRP range from 0.3% to 6%.²⁵

The mean age in our 3 groups was 70.3 years and all our patients had earlier undergone radiotherapy with curative intent. Most CRYO patients reported pre-existing erectile dysfunction (ED) on a non-validated questionnaire. The mean pre-HIFU International Index of Erectile Function (IIEF) score was 8.6 ± 7.9 . Therefore, these patients were not candidates for the nerve-sparing salvage ablation protocol. At 45, 90, and 180 days post-HIFU, the IIEF scores were 3.4 ± 4 , 5.1 ± 5 , 5.4 ± 6.5 , respectively. With the advances in magnetic resonance imaging technology, it is now possible to delineate the focus of RRPC and we believe that focal salvage may be a viable treatment option for selected potent men with RRPC.

Although the pre-salvage PSA of Group 1 patients (initial CRYO) was significantly higher ($p \le 0.001$), we do not believe it would have affected the complication rate. The lower mean PSA level likely implied a lower local tumour volume, suggesting a more stringent patient selection process. An improvement in patient selection, in turn, may have contributed to the lower complication rate.

In this study there has been a statistically significant reduction in the rate of Clavien grade I-II complications and this likely indicated a learning curve, although validation from other institutions would be required for confirmation.

The single-centre, single-surgeon experience is a limitation, although it provided consistency and perhaps more adequately demonstrated the effect of the learning curve and transition of technology on morbidity based on cumulative experience. Another key limitation is the retrospective design, as this methodology of comparison is considered suboptimal; however, the accrual of patients for a trial randomizing patients to different salvage minimally invasive procedures was impractical.

Conclusion

Salvage HIFU shows promise as a further advance in the management of RRPC. This modality is associated with a low complication rate, including mild-moderate incontinence and urinary retention as compared to CRYO. The complication rate of salvage CRYO decreased with increasing surgeon experience. The morbidity during the early experience with HIFU was lower than both subgroups of CRYO. This may reflect advances in technology or cumulative learning experience. Patients presenting with RRPC who are suboptimal surgical candidates for salvage radical prostatectomy may be suitable for either CYRO or HIFU.

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Competing interests: The authors all declare no competing financial or personal interests. Dr. Chin is a member of the advisory boards for US HIFU and Profound Medical, Inc.

This paper has been peer-reviewed.

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Correspondence: Dr. Joseph Chin, Western University, London, ON; joseph.chin@lhsc.on.ca